- University of Liège
- Aerospace & Mechanical Engineering

# Alternative numerical methods in continuum mechanics Summary of the Finite Element method

Ludovic Noels

LTAS-Milieux Continus et Thermomécanique Chemin des Chevreuils 1, B4000 Liège L.Noels@ulg.ac.be





Aerospace & Mechanical engineering

# • Definition

- Materials are modeled as a continuum
- Matter
  - Is continuously distributed &
  - Fills the entire region of space the body occupies
- Consequences
  - The body can be continually sub-divided into infinitesimal elements
    - Kinematics and material behavior laws are deduced from these infinitesimal elements analysis
  - Kinematics and material behavior obey to
    - Constitutive equations
      - Elasticity
      - Elasto-plasticity
    - Conservation laws
      - Conservation of mass
      - Conservation of linear momentum
      - Conservation of angular momentum
      - Conservation of energy





# **Continuum mechanics**

# • Idea

- A real material is
  - Heterogeneous
    - Grains
    - Inclusions
  - Made of discontinuities
    - Cracks
    - Grain-boundaries
    - Plastic dislocations
  - Composed of molecules/atoms
    - Fluids, Solids
- Instead of studying the motion of every atoms, continuum mechanics models these
  - Heterogeneities
  - Discontinuities
  - ...

at the macroscopic level through

Material laws











# • Examples

- Dislocation motions are modeled using an elasto-plastic material law
  - Grain sizes, inclusions, ... are accounted for through the hardening law





- Each grain can also be modeled by continuum mechanics
  - A crystal plasticity model is used in each grain







2009-2010



# • Limitations

- The model should be able to capture the physics
- Example
  - Tensile test with an homogeneous elasto-plastic material



- Deformations (plastic & elastic) will be uniform in the central zone
- This can be a good model as long as grain size is small compared to the macroscopic characteristic length
- Real structure with grain size comparable to the macroscopic length
  - Plastic deformations at the surface are not uniform





- Limitations (2)
  - Example (2)
    - Real structure with grains size comparable to the macroscopic length

- Plastic deformations at the surface are not uniform





- Application to solid mechanics
  - Strong form of continuum mechanics
    - Equations that are satisfied
      - At every point *x* of body *B* in its deformed configuration
      - At every point X of body  $B_0$  in its initial configuration
  - Static assumption
    - Linear momentum conservation
    - Angular momentum conservation
    - Neumann BC on surface traction
  - Remark, B is an open manifold of boundary  $\partial B$







$$\boldsymbol{\nabla} \cdot \boldsymbol{\sigma}^T + \boldsymbol{b} = 0 \ \forall \ \boldsymbol{X} \in B_0$$

$$\boldsymbol{\sigma} = \boldsymbol{\sigma}^T \;\; \forall \; \boldsymbol{X} \in B_0$$

$$\boldsymbol{\sigma}\cdot\boldsymbol{n}=ar{\boldsymbol{T}}~~orall~ \boldsymbol{X}\in\partial_NB_0$$



#### • Deformations & strains

- Deformation (or motion) mapping
  - Current position *x* of the material point *X* is obtained

from a mapping  $\pmb{\varphi} \colon \, \pmb{x} = \pmb{\varphi} \left( \pmb{X} 
ight) \ : \ B_0 o B$ 

- Two-point (non symmetrical) deformation gradient

• 
$$\mathbf{F} = \nabla_0 \boldsymbol{\varphi} = \frac{\partial \boldsymbol{\varphi}}{\partial \boldsymbol{X}}$$
 :  $B_0 \to \operatorname{GL}_+(3, \mathbb{R})$  or  $\mathbf{F}_{ij} = \frac{\partial \boldsymbol{\varphi}_i}{\partial \boldsymbol{X}_j}$ 

- Where the Lie group  $\mathrm{GL}_+\left(3,\,\mathbb{R}\right)$ 
  - Is the smooth manifold in the Euclidean space,
    - in which matrix can be inverted (as smooth)

- + means the determinant is positive:  $J = \det(\mathbf{F}) > 0$ 

- F is non-symmetrical
- Jacobian  $J = \det(\mathbf{F}) > 0$ 
  - Corresponds to the change of (infinitesimal) volume
  - Using mass conservation leads to  $\frac{dB}{dB_0} = J = \frac{\rho_0}{\rho}$  for any material point *X* in *B*<sub>0</sub>





8

R

r

 $E_{Y}$ 

 $x = \varphi(X)$ 

 $E_{Z}$ 

 $B_0$ 

X

 $\mathbf{E}_X$ 

- Deformations & strains (2)
  - In terms of displacements

• 
$$\boldsymbol{\varphi}\left(\boldsymbol{X}\right) = \boldsymbol{X} + \boldsymbol{u}\left(\boldsymbol{X}\right) : B_0 \to B$$

The deformation gradient is rewritten 

$$\mathbf{F} = \frac{\partial \boldsymbol{\varphi}}{\partial \boldsymbol{X}} = \frac{\partial \boldsymbol{X}}{\partial \boldsymbol{X}} + \frac{\partial \boldsymbol{u}}{\partial \boldsymbol{X}} = \mathbf{I} + \frac{\partial \boldsymbol{u}}{\partial \boldsymbol{X}}$$

- The symmetrical part
  - Which corresponds to material deformations
  - Which removes rotation
  - Is obtained from the (symmetrical) right Cauchy tensor

$$\mathbf{C} = \mathbf{F}^T \mathbf{F} = \left( \mathbf{I} + \left( \frac{\partial \boldsymbol{u}}{\partial \boldsymbol{X}} \right)^T \right) \left( \mathbf{I} + \frac{\partial \boldsymbol{u}}{\partial \boldsymbol{X}} \right)$$









# • Small deformations

- Small displacements (including rotations) assumption
  - Satisfied if  $\|\boldsymbol{u}\| << |B|$
  - Implies
    - Integration can be performed on the current or on the initial configuration:  $\int_B \simeq \int_{B_0}$

- Differentiation can be performed with respect to the current or initial configuration:  $\nabla = \frac{\partial}{\partial x} \simeq \nabla_0 = \frac{\partial}{\partial X}$ 

Definition of the small-deformation tensor

» From 
$$\mathbf{C} = \mathbf{F}^T \mathbf{F} = \left( \mathbf{I} + \left( \frac{\partial u}{\partial X} \right)^T \right) \left( \mathbf{I} + \frac{\partial u}{\partial X} \right)$$
  
 $\implies \mathbf{C} \simeq \mathbf{I} + \left( \frac{\partial u}{\partial x} \right)^T + \frac{\partial u}{\partial x} \implies \varepsilon = \frac{1}{2} \left( \nabla \otimes u + u \otimes \nabla \right) \simeq \frac{1}{2} \left( \mathbf{C} - \mathbf{I} \right)$ 

- Other notations: 
$$\boldsymbol{\varepsilon}_{ij} = \frac{1}{2} \left( \frac{\partial}{\partial \boldsymbol{x}_i} \boldsymbol{u}_j + \frac{\partial}{\partial \boldsymbol{x}_j} \boldsymbol{u}_i \right)$$
 or again  $\boldsymbol{\varepsilon}_{ij} = \frac{1}{2} \left( \boldsymbol{u}_{j,i} + \boldsymbol{u}_{i,j} \right)$ 





 $\overline{E_{Y}}$ 

 $B_0$ 

 $\mathbf{T}_{\mathbf{v}}$ 

# Material law

- We have the governing equations in the strong form,
- What is still missing is the stress-strain relationship
- Linear elasticity for small deformations

• 
$$\sigma = \mathcal{H} : \varepsilon$$
 or  $\sigma_{ij} = \mathcal{H}_{ijkl} \varepsilon_{kl}$  for any material point  $X$  in  $B_0$   
with  $\mathcal{H}_{ijkl} = \underbrace{\frac{E\nu}{(1+\nu)(1-2\nu)}}_{\lambda = K - 2\mu/3} \delta_{ij} \delta_{kl} + \underbrace{\frac{E}{1+\nu}}_{2\mu} \left(\frac{1}{2}\delta_{ik}\delta_{jl} + \frac{1}{2}\delta_{il}\delta_{jk}\right)$ 

• Which can be inverted into  $\ oldsymbol{arepsilon} = \mathcal{G}: oldsymbol{\sigma}$ 

with 
$$\mathcal{G}_{ijkl} = \frac{1+\nu}{E} \left(\frac{1}{2}\delta_{ik}\delta_{jl} + \frac{1}{2}\delta_{il}\delta_{jk}\right) - \frac{\nu}{E}\delta_{ij}\delta_{kl}$$

• An internal potential U can be defined at each material point X in  $B_0$ 

$$- U = \frac{1}{2}\varepsilon : \mathcal{H} : \varepsilon \ B_0 \to \mathbb{R}^+$$

– Stress tensor derives from the internal potential:  $\sigma = \partial_{m{arepsilon}} U = \mathcal{H}:m{arepsilon}$ 





#### Continuum solid mechanics



# • 1-D pure bending

- Assumptions
  - Symmetrical beam
  - Filled cross-section
  - Cross-section remains plane (Bernoulli or Kirchhoff-Love)
  - Only for thin structures (h/L << 1)
  - Limited bending:  $\kappa L << 1$
- Curvature radius

• 
$$\kappa = -\frac{\partial^2 \boldsymbol{u}_z}{\partial x^2}$$







# Continuum solid mechanics applied to beams

- 1-D pure bending (2)
  - Kinematics
    - $\boldsymbol{u}_x = \kappa x z$







- 1-D pure bending (3)
  - Kinematics (2)

• 
$$\boldsymbol{u}_x = \kappa xz$$
  
•  $\boldsymbol{u}_z = -\frac{\kappa}{2}x^2 + ?$ 

Section remains plane, but the shape can change

$$\Longrightarrow \begin{cases} \boldsymbol{u}_z = -\frac{\kappa}{2}x^2 + f\left(?\right) \\ \boldsymbol{u}_y = g\left(?\right) \end{cases}$$







# Continuum solid mechanics applied to beams

Ζ. 1-D pure bending (4) Ζ. - Kinematics (3) х y h •  $\boldsymbol{u}_r = \kappa x z$ •  $u_z = -\frac{\kappa}{2}x^2 + f(?)$ •  $\boldsymbol{u}_{y} = g\left(?\right)$ • f & g should Involve quadratic terms - Be independent of x $\implies$  terms in  $y^2$ ,  $y_z$ ,  $z^2$  $\kappa$ terms in  $y^2$ ,  $z^2$ • f(-y) should be equal to f(y)• g(-y) should be equal to  $-g(y) \implies \text{terms in } yz$ • No shearing  $\Longrightarrow \varepsilon_{yz} = \frac{1}{2} \left( 2y \partial_{y^2} f + y \partial_{yz} g \right) = 0 \& \varepsilon_{xz} = 0$ ,  $\varepsilon_{xy} = 0$  (OK) For linear elasticity there is a Poisson's effect  $\begin{aligned} \mathbf{u}_z &= -\frac{\kappa}{2} \left[ x^2 + \nu \left( \alpha z^2 - \beta y^2 \right) \right] \\ \mathbf{u}_y &= -\kappa \nu \beta yz \end{aligned}$ A solution satisfying these constraints





### Continuum solid mechanics applied to beams

- 1-D pure bending (5)
  - Small deformations  $\begin{cases}
    \boldsymbol{u}_{x} = \kappa xz \\
    \boldsymbol{u}_{y} = -\kappa \nu \beta yz \\
    \boldsymbol{u}_{z} = -\frac{\kappa}{2} \left[ x^{2} + \nu \left( \alpha z^{2} - \beta y^{2} \right) \right]
    \end{cases}
    \Rightarrow
    \begin{cases}
    \varepsilon_{xx} = \kappa z \\
    \varepsilon_{yy} = -\kappa \beta \nu z \\
    \varepsilon_{zz} = -\kappa \alpha \nu z \\
    \varepsilon_{xy} = \varepsilon_{yz} = \varepsilon_{xz} = 0
    \end{cases}$ 
    - For linear elasticity

• 
$$\boldsymbol{\sigma} = \mathcal{H} : \boldsymbol{\varepsilon}$$
 with  $\mathcal{H}_{ijkl} = \frac{E\nu}{(1+\nu)(1-2\nu)} \delta_{ij} \delta_{kl} + \frac{E}{1+\nu} \left(\frac{1}{2} \delta_{ik} \delta_{jl} + \frac{1}{2} \delta_{il} \delta_{jk}\right)$   

$$\implies \begin{cases} \boldsymbol{\sigma}_{xx} = \frac{\kappa E z}{(1+\nu)(1-2\nu)} \left[1-\nu-(\alpha+\beta)\nu^{2}\right] \\ \boldsymbol{\sigma}_{yy} = \frac{\kappa E \nu z}{(1+\nu)(1-2\nu)} \left[1-\beta+\nu(\beta-\alpha)\right] \\ \boldsymbol{\sigma}_{zz} = \frac{\kappa E \nu z}{(1+\nu)(1-2\nu)} \left[1-\alpha+\nu(\alpha-\beta)\right] \end{cases}$$

• Balance equation: 
$$\nabla \cdot \boldsymbol{\sigma} = 0$$
  
 $\implies \partial_z \boldsymbol{\sigma}_{zz} = 0 \implies \alpha = \frac{1 - \beta \nu}{1 - \nu} \text{ or } \nu = 0$ 





- 1-D pure bending of beams
  - Small deformations

$$\begin{cases} \varepsilon_{xx} = \kappa z \\ \varepsilon_{yy} = -\kappa \beta \nu z \\ \varepsilon_{zz} = -\kappa \alpha \nu z \\ \varepsilon_{xy} = \varepsilon_{yz} = \varepsilon_{xz} = 0 \end{cases}$$

- Beam
  - Stress-free on all cross-section edges

$$- \boldsymbol{\sigma}_{yy} = \boldsymbol{\sigma}_{zz} = 0$$
$$\implies \alpha = \beta = 1$$

Balance equation

& linear elasticity  $\boldsymbol{\sigma}_{xx} = \frac{\kappa E z}{(1+\nu)(1-2\nu)} \left[1-\nu-(\alpha+\beta)\nu^2\right]$  $\boldsymbol{\sigma}_{yy} = \frac{\kappa E \nu z}{(1+\nu)(1-2\nu)} \left[1 - \beta + \nu \left(\beta - \alpha\right)\right]$  $\sigma_{zz} = \frac{\kappa E \nu z}{(1+\nu)(1-2\nu)} \begin{bmatrix} 1-\alpha+\nu(\alpha-\beta) \end{bmatrix}$ Ζ. х y h  $\kappa$ 





### Continuum solid mechanics applied to beams

- 1-D pure bending of beams (2) z
  - Equations

$$\begin{cases} \boldsymbol{\sigma}_{xx} = \kappa Ez \\ \boldsymbol{\sigma}_{yy} = \boldsymbol{\sigma}_{zz} = 0 \end{cases}$$

Momentum

$$M_{xx} = \int_A \kappa E z^2 dy dz = \kappa E I$$

• Inertia

$$I = \int_{A} z^{2} dy dz$$
- Rigorously we should call it  $I_{yy}$ 

• For a rectangular cross-section 
$$I = \frac{bh^3}{12}$$







# Continuum solid mechanics applied to beams

- 1-D pure bending of plates
  - Small deformations

$$\begin{cases} \varepsilon_{xx} = \kappa z \\ \varepsilon_{yy} = -\kappa \beta \nu z \\ \varepsilon_{zz} = -\kappa \alpha \nu z \\ \varepsilon_{xy} = \varepsilon_{yz} = \varepsilon_{xz} = 0 \end{cases}$$

- Plate (plane  $\sigma$  state)
  - No deformation along y

 $\implies \beta = 0$ 

• Stress-free on upper and lower sides  $\Longrightarrow \sigma_{zz} = 0$ 

$$\implies \alpha = 1 / (1-v)$$

Balance equation

 $\alpha = \frac{1-\beta\nu}{1-\nu} \text{ satisfied }$ 

& linear elasticity  $\int \boldsymbol{\sigma}_{xx} = \frac{\kappa E z}{(1+\nu)(1-2\nu)} \left[ 1 - \nu - (\alpha+\beta)\nu^2 \right]$  $\boldsymbol{\sigma}_{yy} = \frac{\kappa E \nu z}{(1+\nu)(1-2\nu)} \left[1 - \beta + \nu \left(\beta - \alpha\right)\right]$  $\sigma_{zz} = \frac{\kappa E \nu z}{(1+\nu)(1-2\nu)} \begin{bmatrix} 1-\alpha+\nu(\alpha-\beta) \end{bmatrix}$ Ζ. V h  $\kappa$ 





- 1-D pure bending of plates (2)
  - Small deformations

$$\begin{cases} \varepsilon_{xx} = \kappa z \\ \varepsilon_{yy} = -\kappa \beta \nu z \\ \varepsilon_{zz} = -\kappa \alpha \nu z \\ \varepsilon_{xy} = \varepsilon_{yz} = \varepsilon_{xz} = 0 \end{cases}$$

- Plate (plane  $\varepsilon$  state)
  - No deformation along y

 $\implies \beta = 0$ 

• No deformation along z

 $\implies \alpha = 0$ 

Balance equation

$$\alpha = \frac{1-\beta\nu}{1-\nu} \quad \text{NOT satisfied}$$

• This state actually requires v = 0

& linear elasticity  $\boldsymbol{\sigma}_{xx} = \frac{\kappa E z}{(1+\nu)(1-2\nu)} \left[1-\nu-(\alpha+\beta)\nu^2\right]$  $\boldsymbol{\sigma}_{yy} = \frac{\kappa E \nu z}{(1+\nu)(1-2\nu)} \left[1 - \beta + \nu \left(\beta - \alpha\right)\right]$  $\sigma_{zz} = \frac{\kappa E \nu z}{(1+\nu)(1-2\nu)} \begin{bmatrix} 1-\alpha+\nu(\alpha-\beta) \end{bmatrix}$ Ζ. y h  $\kappa$ 





Continuum solid mechanics applied to beams

- 1-D pure bending of plates (3) z
  - Back to plane  $\sigma$  state
    - Equations

$$\begin{cases} \boldsymbol{\sigma}_{xx} = \frac{\kappa E z}{1 - \nu^2} \\ \boldsymbol{\sigma}_{zz} = 0 \\ \boldsymbol{\sigma}_{yy} = \frac{\nu \kappa E z}{1 - \nu^2} \end{cases}$$

$$\frac{1}{\kappa}$$

• Momentum

$$m_{xx} = \int_{-\frac{h}{2}}^{\frac{h}{2}} \frac{\kappa E}{1 - \nu^2} z^2 dz = D\kappa$$

• Flexural rigidity

$$D = \frac{Eh^3}{12\,(1-\nu^2)}$$





- Strong form of pure-bending beam
  - Equations

$$\begin{cases} M_{xx} = \int_{A} \kappa E z^{2} dy dz = \kappa E I \\ \kappa = -\frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \quad \boldsymbol{\&} \quad \boldsymbol{\sigma}_{xx} = \kappa E z \end{cases}$$



- Concentrated load
  - For a uniform cross-section hxb:  $I = \frac{bh^3}{12}$

$$P(L-x) = \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} EI \implies \boldsymbol{u}_z = \frac{P}{EI} \left(\frac{Lx^2}{2} - \frac{x^3}{6}\right)$$

Stress

$$\sigma_{xx}|_{z=-\frac{h}{2}} = -\kappa E \frac{h}{2} = \frac{Ph}{2I} (L-x) = \frac{6P}{bh^2} (L-x)$$

- Shearing – There is a shearing  $T_z = P$ :  $T_z = \frac{\partial M_{xx}}{\partial x} = \frac{\partial P (x - L)}{\partial x} = P$ 
  - Its effect on shearing stress can be neglected if  $h/L \ll$  as

$$\boldsymbol{\sigma}_{xy} = \mathcal{O}\left(\frac{P}{bh}\right) = \mathcal{O}\left(\frac{h}{L}\boldsymbol{\sigma}_{xx}\left(x=0,\ z=-\frac{h}{2}\right)\right)$$





- Strong form of pure-bending beam (2)
  - Equations

$$\begin{cases} M_{xx} = \int_{A} \kappa E z^{2} dy dz = \kappa E I \\ \kappa = -\frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \quad \& \quad \boldsymbol{\sigma}_{xx} = \kappa E z \end{cases}$$



- Non-uniform loading
  - Internal energy variation

$$\delta E_{\rm int} = \int_0^L \int_A \boldsymbol{\sigma}_{xx} \delta \boldsymbol{\varepsilon}_{xx} dA dx = \int_0^L \int_A E \kappa \delta \kappa z^2 dA dx = \int_0^L \int_A E \delta \frac{\kappa^2}{2} z^2 dA dx = \delta \int_0^L \frac{M_{xx} \kappa}{2} dx$$

• Work variation of external forces

$$\delta W_{\text{ext}} = \int_{0}^{L} f(x) \,\delta \boldsymbol{u}_{z} dx + \bar{T}_{z} \delta \boldsymbol{u}_{z} \Big]_{0}^{L} - \bar{M}_{xx} \frac{\partial \delta \boldsymbol{u}_{z}}{\partial x} \Big|_{0}^{L}$$

$$\Rightarrow \int_0^L \frac{1}{2} EI\left(\frac{\partial^2 \boldsymbol{u}_z}{\partial x^2}\right)^2 dx = \int_0^L f(x) \, \boldsymbol{u}_z dx + \bar{T}_z \boldsymbol{u}_z \Big]_0^L - \bar{M}_{xx} \frac{\partial \boldsymbol{u}_z}{\partial x} \Big|_0^L$$





• Strong form of pure-bending beam (3)



• Integration by parts of the internal energy variation

$$\delta E_{\text{int}} = \int_{0}^{L} EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial^{2} \delta \boldsymbol{u}_{z}}{\partial x^{2}} dx = \left[ EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial \delta \boldsymbol{u}_{z}}{\partial x} \right]_{0}^{L} - \int_{0}^{L} \frac{\partial}{\partial x} \left( EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) \frac{\partial \delta \boldsymbol{u}_{z}}{\partial x} dx$$
$$\delta E_{\text{int}} = \left[ EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial \delta \boldsymbol{u}_{z}}{\partial x} \right]_{0}^{L} - \left[ \frac{\partial}{\partial x} \left( EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) \delta \boldsymbol{u}_{z} \right]_{0}^{L} + \int_{0}^{L} \frac{\partial^{2}}{\partial x^{2}} \left( EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) \delta \boldsymbol{u}_{z} dx$$

• Work variation of external forces

$$\delta W_{\text{ext}} = \int_{0}^{L} f(x) \,\delta \boldsymbol{u}_{z} dx + \bar{T}_{z} \delta \boldsymbol{u}_{z} \Big]_{0}^{L} - \bar{M}_{xx} \frac{\partial \delta \boldsymbol{u}_{z}}{\partial x} \Big]_{0}^{L}$$





- Elastic pure-bending beam (4)
  - Energy conservation (2)
    - As  $\delta u_z$  is arbitrary:

Euler-Bernoulli equations

• 
$$\frac{\partial^2}{\partial x^2} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) = f(x) \quad \text{on [0, L] } \delta$$
• 
$$\begin{cases} -\frac{\partial}{\partial x} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) \Big|_{0, L} = \bar{T}_z \Big|_{0, L} \\ -EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \Big|_{0, L} = \bar{M}_{xx} \Big|_{0, L} \end{cases}$$







#### Introduction to Hilbert space

- When writing weak formulations of the continuum equations, solutions in usual C<sup>n</sup> manifolds do not always exist
  - Because derivative is not always defined/continuous everywhere
  - However sometimes a numerical solution can be found
  - $C^n$  manifolds are not the correct ones to be considered
- Instead it is more convenient to consider manifolds where
  - Derivatives are understood in a weak sense: For non-differentiable functions, if they exist, the generalized derivatives are defined as

$$- f^{(k)}(x) \in \mathcal{L}^{p}([a, b]) : \int_{a}^{b} f \partial^{k} \phi dx = (-1)^{k} \int_{a}^{b} f^{(k)} \phi dx$$

- 
$$\forall \phi \in C^{\infty}([a, b]) \& \phi(a) = \phi(b) = 0$$

- For differentiable functions, application of Greens' formula leads to classical derivatives
- A norm can be defined
- Sobolev spaces are the modern replacements for *C*<sup>*n*</sup> manifolds
  - Hilbert spaces are particular cases of Sobolev spaces





- Introduction to Hilbert space (2)
  - 1-D Sobolev space W<sup>m, p</sup>
    - For a function f(x):  $f(x) \in W^{m, p}([a, b])$  if the function and its generalized derivatives up to order *m* have a finite *p*-norm on [*a*, *b*]

• *p*-norm is define as 
$$\|g\|_{L^p([a, b])} = \left(\int_a^b \sum_i |g_i|^p dx\right)^{\frac{1}{p}}$$

- For p = 2 & for tensors, we have the Euclidean norm and  $\mathbf{H}^m = \mathbf{W}^{m, 2}$ 

• As the *p*-norms of the derivatives are finite, the Sobolev norm is also finite:

- 
$$||f||_{\mathbf{W}^{m,p}([a, b])} = \sum_{k=0}^{m} \left\| f^{(k)} \right\|_{\mathbf{L}^{p}([a, b])}$$

- Sobolev space and continuity
  - If a function belongs to W<sup>1,p</sup> then almost every line parallel to the coordinates is absolutely continuous





Weak form of the continuum equations

- 1-D exemple in Hilbert space
  - Considering the function f(x) on [-1, 1]  $f(x) = 1 - |x| \quad \forall x \in [-1, 1]$ 
    - Useful in FE methods
    - Derivative is not defined in [-1, 1]
      - $f \notin C^1\left([-1,\,1]\right)$
  - But we still want to use this function







- 1-D exemple in Hilbert space (2)
  - Derivative in a weak sense of  $f(x) = 1 |x| \quad \forall x \in [-1, 1]$ 
    - Considering  $\phi(x) \in C^{\infty}\left([-1, 1]\right)$  arbitrary, but with  $\phi(-1) = \phi(1) = 0$

$$\int_{-1}^{1} f(x)\phi'(x)dx = \int_{-1}^{0} f(x)\phi'(x)dx + \int_{0}^{1} f(x)\phi'dx$$
$$\int_{-1}^{1} f(x)\phi'(x)dx = f\phi|_{-1}^{0^{-}} + f\phi|_{0^{+}}^{1} - \int_{-1}^{0} f'(x)\phi(x)dx - \int_{0}^{1} f'(x)\phi(x)dx$$

• As

- f is continuous at 0 (this is also the case for  $\phi(x) \in C^{\infty}([-1, 1])$ ) &

$$- \phi(-1) = \phi(1) = 0$$
  
$$\implies \int_{-1}^{1} f(x)\phi'(x)dx = -\int_{-1}^{1} f'(x)\phi(x)dx$$

where f is the usual derivative of f, and which is not defined at 0

• So weak derivative  $f^{(1)}(x) = f'(x) \ \forall x \in [-1, 0[\cup]0, 1]$ 

$$\Rightarrow f^{(1)}(x) = \begin{cases} 1, & \text{if } -1 \le x < 0 \\ -1, & \text{if } 1 \ge x > 0 \end{cases}$$





- 1-D exemple in Hilbert space (3)
  - Function

Function
$$f(x) = 1 - |x| \quad \forall x \in [-1, 1]$$
Derivative in a weak sense $f^{(1)}(x) = \begin{cases} 1, & \text{if } -1 \le x < 0 \\ -1, & \text{if } 1 \ge x > 0 \end{cases}$ 

- Norms
  - L<sup>2</sup> norm of the function

$$\|f(x)\|_{L^{2}([-1,1])} = \sqrt{\int_{-1}^{1} (1-|x|)^{2} dx}$$
$$= \sqrt{\frac{1}{3} (1+x)^{3}} \Big|_{-1}^{0} - \frac{1}{3} (1-x)^{3} \Big|_{0}^{1} = \sqrt{\frac{2}{3}}$$

L<sup>2</sup> norm of the weak derivative

$$\left\|f(x)^{(1)}\right\|_{L^2([-1,1])} = \sqrt{\int_{-1}^0 1dx + \int_0^1 1dx} = \sqrt{2}$$

- As these norms are finite
  - The function  $f \in H^1([-1, 1])$  but  $f \notin C^1([-1, 1])$
  - With  $\|f(x)\|_{\mathrm{H}^{1}([-1,1])} = \|f(x)\|_{L^{2}([-1,1])} + \left\|f(x)^{(1)}\right\|_{L^{2}([-1,1])} = \sqrt{\frac{2}{3}} + \sqrt{2}$





- Linear elasticity: Equations
  - Linear momentum equation becomes

• 
$$\nabla \cdot \left[\frac{1}{2}\mathcal{H}: (\nabla \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla})\right] + \boldsymbol{b} = 0 \; \forall \boldsymbol{X} \in B_0$$

- Boundary conditions

• 
$$\boldsymbol{n} \cdot \left[\frac{1}{2}\mathcal{H}: (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla})\right] = \bar{\boldsymbol{T}} \ \forall \boldsymbol{X} \in \partial_N B_0$$

• 
$$\boldsymbol{u} = \bar{\boldsymbol{u}} \ \forall \boldsymbol{X} \in \partial_D B_0$$



- The exact solution
- Satisfying these equations (in the strong form)





- Linear elasticity: Virtual displacement
  - Let us defined an admissible virtual displacement (not infinitesimal)
    - Displacements are known on Dirichlet boundary

• 
$$\delta \boldsymbol{u} \in \mathbf{H}_{c}^{1}\left(B_{0}\right) = \left\{\delta \boldsymbol{u} \in \mathbf{H}^{1}\left(B_{0}\right) : \delta \boldsymbol{u}\left(\partial_{D}B_{0}\right) = 0\right\}$$

Which multiplies the linear momentum equation

• 
$$\nabla \cdot \left[\frac{1}{2}\mathcal{H}: (\nabla \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla})\right] + \boldsymbol{b} = 0 \ \forall \boldsymbol{X} \in B_0$$
  
 $\implies \nabla \cdot \left[\frac{1}{2}\mathcal{H}: (\nabla \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla})\right] \cdot \delta \boldsymbol{u} + \boldsymbol{b} \cdot \delta \boldsymbol{u} = 0 \ \forall \boldsymbol{X} \in B_0 \ \forall \delta \boldsymbol{u} \in \mathbf{H}_c^1(B_0)$ 

- As this equation is satisfied at any material point of  $B_0$ , we can integrate it

- We choose the actual configuration B, as gradient are related to this one
- But in small deformations:  $B \sim B_0$  (we assume that for the following)
- For large deformations, we could write down everything on  $B_0$

• 
$$\int_{B} \boldsymbol{\nabla} \cdot \left[ \frac{1}{2} \mathcal{H} : (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla}) \right] \cdot \delta \boldsymbol{u} dV + \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} dV = 0 \quad \forall \delta \boldsymbol{u} \in \mathbf{H}_{c}^{1} \left( B_{0} \right)$$





- Linear elasticity: Volume integration
  - Integrating by parts, and using Gauss theorem:

• 
$$\int_{B} \boldsymbol{\nabla} \cdot \left[ \frac{1}{2} \mathcal{H} : (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla}) \right] \cdot \delta \boldsymbol{u} dV + \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} dV = 0 \quad \forall \delta \boldsymbol{u} \in \mathbf{H}_{c}^{1}(B_{0})$$

$$\Longrightarrow \int_{B} \boldsymbol{\nabla} \cdot \left\{ \begin{bmatrix} \frac{1}{2} \mathcal{H} : (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla}) \end{bmatrix} \cdot \delta \boldsymbol{u} \right\} dV - \\ \int_{B} \begin{bmatrix} \frac{1}{2} \mathcal{H} : (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla}) \end{bmatrix} : \boldsymbol{\nabla} \otimes \delta \boldsymbol{u} dV + \\ \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} dV = 0 \quad \forall \delta \boldsymbol{u} \in \mathbf{H}_{c}^{1} \left( B_{0} \right)$$

$$\implies \int_{\partial B} \boldsymbol{n} \cdot \left\{ \begin{bmatrix} \frac{1}{2} \mathcal{H} : (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla}) \end{bmatrix} \cdot \delta \boldsymbol{u} \right\} dS - \\ \int_{B} \begin{bmatrix} \frac{1}{2} \mathcal{H} : (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla}) \end{bmatrix} : \boldsymbol{\nabla} \otimes \delta \boldsymbol{u} dV + \\ \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} dV = 0 \quad \forall \delta \boldsymbol{u} \in \mathbf{H}_{c}^{1} \left( B_{0} \right)$$





Linear elasticity: Volume integration (2) 

– As

•  $\delta \boldsymbol{u} \left( \partial_D B_0 \right) = 0$ 

• 
$$\boldsymbol{n} \cdot \left[\frac{1}{2}\mathcal{H}: (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla})\right] = \bar{\boldsymbol{T}} \ \forall \boldsymbol{X} \in \partial_N B_0$$

Hooke's tensor is symmetrical:  $\mathcal{H}_{ijkl} = \mathcal{H}_{klij} = \mathcal{H}_{jikl} = \mathcal{H}_{ijlk}$ 

Equation can be simplified \_

• 
$$\int_{\partial B} \boldsymbol{n} \cdot \left\{ \begin{bmatrix} \frac{1}{2} \mathcal{H} : (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla}) \end{bmatrix} \cdot \delta \boldsymbol{u} \right\} dS - \int_{B} \begin{bmatrix} \frac{1}{2} \mathcal{H} : (\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla}) \end{bmatrix} : \boldsymbol{\nabla} \otimes \delta \boldsymbol{u} dV + \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} dV = 0 \quad \forall \delta \boldsymbol{u} \in \mathbf{H}_{c}^{1} (B_{0})$$

$$\implies \int_{B} \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \delta \boldsymbol{u} + \delta \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) : \mathcal{H} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) dV = \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \delta \boldsymbol{u} dS + \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} dV = 0 \quad \forall \delta \boldsymbol{u} \in \mathbf{H}_{c}^{1} \left( B_{0} \right)$$





- Linear elasticity: Weak formulation
  - Existence of solution

• 
$$\int_{B} \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \delta \boldsymbol{u} + \delta \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) : \mathcal{H} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) dV = \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \delta \boldsymbol{u} dS + \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} dV = 0 \quad \forall \delta \boldsymbol{u} \in \mathbf{H}_{c}^{1} \left( B_{0} \right)$$

- It can be shown that
  - − A solution in  $C^2(B_0) \cap C^1(\overline{B_0})$  does not always exist BUT
  - For adequate boundary conditions (such as  $\ ar{m{u}}\left(m{X}
    ight)\in\mathbf{H}^{1}$  ),

a solution  $\boldsymbol{u}\left(\boldsymbol{X}
ight)\in\mathbf{H}^{1}\left(B_{0}
ight)$  always exists\*

- This explains why we are looking for a solution (with virtual displacements defined) in the Sobolev spaces instead of looking in usual *C* spaces
- Weak form is stated as
  - Finding  $\boldsymbol{u}\left(\boldsymbol{X}\right)\in\mathbf{H}^{1}\left(B_{0}
    ight)$
  - Such that 
    $$\begin{split} \int_{B} \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \delta \boldsymbol{u} + \delta \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) : \mathcal{H} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) dV = \\ \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \delta \boldsymbol{u} dS + \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} dV = 0 \quad \forall \delta \boldsymbol{u} \in \mathbf{H}_{c}^{1} \left( B_{0} \right) \end{split}$$

\*Finite elements: theory, fast solvers, and applications in solid mechanics, Dietrich Braess, Cambridge Press, 2001, ISBN 0521 011957




- Linear elasticity: Bilinear form
  - Bilinear form
    - Let us define the bilinear form  $\mathbf{H}^{1}(B_{0}) \times \mathbf{H}^{1}(B_{0}) \to \mathbb{R}$  :

$$a\left(\boldsymbol{u},\,\boldsymbol{v}\right) = \int_{B} \frac{1}{2}\left(\boldsymbol{\nabla}\otimes\boldsymbol{v} + \boldsymbol{v}\otimes\boldsymbol{\nabla}\right) : \mathcal{H}: \frac{1}{2}\left(\boldsymbol{\nabla}\otimes\boldsymbol{u} + \boldsymbol{u}\otimes\boldsymbol{\nabla}\right)dV$$

- Which is symmetrical  $a\left( oldsymbol{u},\,oldsymbol{v}
ight) =a\left( oldsymbol{v},\,oldsymbol{u}
ight)$  &

- Positive  $a(\boldsymbol{u}, \boldsymbol{u}) > 0 \quad \forall \boldsymbol{u} \in \mathbf{H}^{1}(B_{0}), \ \boldsymbol{u} \neq 0$
- Let us define the linear form  $\mathbf{H}^{1}\left(B_{0}\right) 
  ightarrow \mathbb{R}$  :

$$b(\boldsymbol{v}) = \int_{\partial_N B} \bar{\boldsymbol{T}} \cdot \boldsymbol{v} \, dS + \int_B \boldsymbol{b} \cdot \boldsymbol{v} \, dV$$

- Weak form of the problem can be stated as finding  $\boldsymbol{u}(\boldsymbol{X}) \in \mathbf{H}^{1}(B_{0})$ such that  $a(\boldsymbol{u}, \delta \boldsymbol{u}) = b(\delta \boldsymbol{u}) \quad \forall \delta \boldsymbol{u} \in \mathbf{H}^{1}_{c}(B_{0}) \subset \mathbf{H}^{1}(B_{0})$ 





- Linear elasticity: Weak form & consistency
  - Weak form of the problem can be stated as finding  $\boldsymbol{u}(\boldsymbol{X}) \in \mathbf{H}^{1}(B_{0})$ such that  $a(\boldsymbol{u}, \delta \boldsymbol{u}) = b(\delta \boldsymbol{u}) \quad \forall \delta \boldsymbol{u} \in \mathbf{H}^{1}_{c}(B_{0}) \subset \mathbf{H}^{1}(B_{0})$
  - Remarks
    - This weak form is written  $\forall \delta \boldsymbol{u} \in \mathbf{H}_{c}^{1}\left(B_{0}
      ight) \subset \mathbf{H}^{1}\left(B_{0}
      ight)$ 
      - As  $\delta \boldsymbol{u}$  is arbitrary in  $\mathbf{H}_{c}^{1}$ , it can be shown\* that
        - » If the exact solution  $u^{\text{exact}}$  of the strong form exists in  $C^2(B_0) \cap C^1(B_0)$
        - » The solution  $u(X) \in \mathbf{H}^1(B_0)$  of the weak form corresponds to the exact solution  $u^{\text{exact}}$
      - Reciprocally, the exact solution satisfies the weak form
        - » Directly obtained by integration by parts
    - For a general weak formulation

2001, ISBN 0521 011957 - chapter 3, boundary-value problems

- A solution of a weak form is sought in a particularized subspace of  $\mathbf{H}^1$  for  $\delta \boldsymbol{u}$  arbitrary in this subspace (see finite-element method)
- In that case, the solution of the weak form does not verify the strong form at each material point X of  $B_0$
- This solution verifies the strong form equations on average



\*Finite elements: theory, fast solvers, and applications in solid mechanics, Dietrich Braess, Cambridge Press,



- Linear elasticity: One-field functional
  - Weak form of the problem can be stated as finding  $\boldsymbol{u}(\boldsymbol{X}) \in \mathbf{H}^{1}(B_{0})$ such that  $a(\boldsymbol{u}, \delta \boldsymbol{u}) = b(\delta \boldsymbol{u}) \quad \forall \delta \boldsymbol{u} \in \mathbf{H}^{1}_{c}(B_{0}) \subset \mathbf{H}^{1}(B_{0})$
  - In Hilbert spaces, the directional Gâteaux derivative can be used:

• For a functional 
$$I(\boldsymbol{u}) = \int_{B} f(\boldsymbol{u}) \, dV$$

- The Gâteaux derivative
  - In the direction *v*-*u*
  - $\forall \boldsymbol{u}, \ \boldsymbol{v} \in \mathbf{H}^{1}\left(B_{0}\right)$  (*v*-*u* is not necessarily infinitesimal)
  - Reads

$$I'(\boldsymbol{u};\boldsymbol{v}-\boldsymbol{u}) = \int_{B} f'(\boldsymbol{u}) \cdot (\boldsymbol{v}-\boldsymbol{u}) \, dV = \lim_{\epsilon \to 0} \frac{I(\boldsymbol{u}+\epsilon(\boldsymbol{v}-\boldsymbol{u})) - I(\boldsymbol{u})}{\epsilon}$$





- Linear elasticity: One-field functional (2)
  - In linear elasticity the stress tensor derives from an internal potential

• 
$$U = \frac{1}{2} \boldsymbol{\varepsilon} : \mathcal{H} : \boldsymbol{\varepsilon} \ B_0 \to \mathbb{R}^+$$

• As  $B \sim B_0$  we can write

$$\begin{aligned} a\left(\boldsymbol{u},\,\delta\boldsymbol{u}\right) &= \int_{B} \frac{1}{2} \left(\boldsymbol{\nabla}\otimes\delta\boldsymbol{u} + \delta\boldsymbol{u}\otimes\boldsymbol{\nabla}\right) : \mathcal{H} : \frac{1}{2} \left(\boldsymbol{\nabla}\otimes\boldsymbol{u} + \boldsymbol{u}\otimes\boldsymbol{\nabla}\right) dV \\ &= \int_{B} \delta\boldsymbol{\varepsilon} : \mathcal{H} : \boldsymbol{\varepsilon} dV = \int_{B} \partial_{\boldsymbol{\varepsilon}} U\left(\boldsymbol{\varepsilon}\right) : \left[\left(\frac{1}{2}\boldsymbol{\nabla}\otimes + \frac{1}{2}\otimes\boldsymbol{\nabla}\right)\delta\boldsymbol{u}\right] dV \end{aligned}$$

- Internal energy of the body is defined by  $E_{\text{int}} = \int_{B} U(\boldsymbol{\varepsilon}) \, dV$
- The bilinear term is the directional derivative of the internal energy with respect to the displacements  $a(u, \delta u) = E'_{int}(u; \delta u)$ , with  $\delta u = v u$  (not infinitesimal)
- Similarly

2009-2010

• 
$$b(\delta \boldsymbol{u}) = \int_{\partial_N B} \bar{\boldsymbol{T}} \cdot \delta \boldsymbol{u} \, dS + \int_B \boldsymbol{b} \cdot \delta \boldsymbol{u} \, dV = W'_{\text{ext}}(\boldsymbol{u}; \, \delta \boldsymbol{u})$$

• With the work of the external forces  $W_{\text{ext}}(\boldsymbol{u}) = \int_{\partial_N B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS + \int_B \boldsymbol{b} \cdot \boldsymbol{u} \, dV$ 





Linear elasticity: One-field functional (3)

$$- \text{ Starting from } \begin{cases} a\left(\boldsymbol{u},\,\delta\boldsymbol{u}\right) = E'_{\text{int}}\left(\boldsymbol{u};\,\delta\boldsymbol{u}\right) \\ b\left(\delta\boldsymbol{u}\right) = W'_{\text{ext}}\left(\boldsymbol{u};\,\delta\boldsymbol{u}\right) \end{cases}$$

- We can define the one-field functional I(u):  $\mathbf{H}^{1}(B_{0}) \rightarrow \mathbb{R}$ 

$$I(\boldsymbol{u}) = E_{\text{int}}(\boldsymbol{u}) - W_{\text{ext}}(\boldsymbol{u}) = \int_{B} U(\boldsymbol{\varepsilon}(\boldsymbol{u})) \, dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$
$$\implies I'(\boldsymbol{u}; \, \delta \boldsymbol{u}) = a(\boldsymbol{u}, \, \delta \boldsymbol{u}) - b(\delta \boldsymbol{u})$$

- Functional extremum
  - As u is known on Dirichlet boundary, v u = 0 on  $\partial_D B \implies \delta u \in \mathbf{H}_c^1(B_0)$
  - Gâteaux derivatives are valid  $orall oldsymbol{u}, \ oldsymbol{v} \in \mathbf{H}^{1}\left(B_{0}
    ight)$

 $\implies$  in expression  $I'(\boldsymbol{u}; \, \delta \boldsymbol{u}) = a(\boldsymbol{u}, \, \delta \boldsymbol{u}) - b(\delta \boldsymbol{u})$ ,  $\delta \boldsymbol{u}$  is arbitrary in  $\mathbf{H}_{c}^{1}(B_{0})$ 

- At the extremum of the functional  $a\left( oldsymbol{u},\,\delta oldsymbol{u}
  ight) =b\left( \delta oldsymbol{u}
  ight)$
- So the functional extremum corresponds to  $\boldsymbol{u}(\boldsymbol{X}) \in \mathbf{H}^{1}(B_{0})$ such that  $a(\boldsymbol{u}, \, \delta \boldsymbol{u}) = b(\delta \boldsymbol{u}) \quad \forall \delta \boldsymbol{u} \in \mathbf{H}^{1}_{c}(B_{0}) \subset \mathbf{H}^{1}(B_{0})$
- The functional extremum corresponds to the solution of the weak form
  - Which corresponds to the exact solution  $u^{\text{exact}}$  of the strong form in  $C^2(B_0) \cap C^1(B_0)$ , if it exists

2009-2010



- Linear elasticity: Two-field functional
  - Weak form of the problem can be stated as finding  $\boldsymbol{u}(\boldsymbol{X}) \in \mathbf{H}^{1}(B_{0})$ such that  $a(\boldsymbol{u}, \delta \boldsymbol{u}) = b(\delta \boldsymbol{u}) \quad \forall \delta \boldsymbol{u} \in \mathbf{H}^{1}_{c}(B_{0}) \subset \mathbf{H}^{1}(B_{0})$
  - The solution corresponds to the extremum
    - Of the two-field functional  $I(\boldsymbol{u}, \boldsymbol{\sigma}) : \mathbf{H}^{1}(B_{0}) \times \mathbf{H}^{0}(B_{0}) \rightarrow \mathbb{R}$

$$I(\boldsymbol{u}, \boldsymbol{\sigma}) = \int_{B} \left[ \boldsymbol{\sigma} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - U(\boldsymbol{\sigma}) \right] dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

• With the internal potential 
$$U(\sigma) = \frac{1}{2}\sigma : \mathcal{H}^{-1} : \sigma = \frac{1}{2}\sigma : \mathcal{G} : \sigma$$



2009-2010



Linear elasticity: Two-field functional (2)

- Functional 
$$I(\boldsymbol{u}, \boldsymbol{\sigma}) = \int_{B} \left[ \boldsymbol{\sigma} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - U(\boldsymbol{\sigma}) \right] dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

Directional derivative with respect to  $\sigma$ \_

• 
$$I'(\boldsymbol{u}, \boldsymbol{\sigma}; \boldsymbol{\delta}\boldsymbol{\sigma}) = \int_{B} \left[ \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - \partial_{\boldsymbol{\sigma}} U(\boldsymbol{\sigma}) \right] \boldsymbol{\delta}\boldsymbol{\sigma} dV - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\delta}\boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS$$

- As on Neumann boundary  $\sigma$  is known, this equation holds for  $\forall \delta \boldsymbol{\sigma} \in \mathbf{H}_{s}^{0}(B_{0}) = \{ \delta \boldsymbol{\sigma} \in \mathbf{H}^{0}(B_{0}) : \delta \boldsymbol{\sigma}(\partial_{N}B_{0}) = 0 \}$
- As  $\delta \sigma$  is arbitrary in  $B_0$  and on  $\partial_D B$ , equaling the derivative to zero leads to

$$- u = \bar{u} \quad \text{on } \partial_D B$$
$$- \partial_{\sigma} U(\sigma) = \mathcal{H}^{-1} : \sigma = \frac{1}{2} \left( \nabla \otimes u + u \otimes \nabla \right) \quad \text{in } B$$

Extremum of the functional satisfies the material behavior and Dirichlet BC 2009-2010





• Linear elasticity: Two-field functional (3)

- Functional 
$$I(\boldsymbol{u}, \boldsymbol{\sigma}) = \int_{B} \left[ \boldsymbol{\sigma} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - U(\boldsymbol{\sigma}) \right] dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

- Directional derivative with respect to  $\delta u$ 

• 
$$I'(\boldsymbol{u}, \boldsymbol{\sigma}; \delta \boldsymbol{u}) = \int_{B} \left[ \boldsymbol{\sigma} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \delta \boldsymbol{u} + \delta \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) \right] dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \delta \boldsymbol{u} \, dS - \int_{\partial_{D}B} \delta \boldsymbol{u} \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} \, dV$$
  
•  $\delta \boldsymbol{u} \in \mathbf{H}_{c}^{1}(B_{0}) = \left\{ \delta \boldsymbol{u} \in \mathbf{H}^{1}(B_{0}) : \delta \boldsymbol{u} \left( \partial_{D} B_{0} \right) = 0 \right\}$ 

Applying integration by parts and Gauss theorem

$$I'(\boldsymbol{u}, \boldsymbol{\sigma}; \boldsymbol{\delta}\boldsymbol{u}) = \int_{\partial_N B} \boldsymbol{\delta}\boldsymbol{u} \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} dV - \int_B \left[\boldsymbol{\nabla} \cdot \boldsymbol{\sigma}^T\right] \cdot \boldsymbol{\delta}\boldsymbol{u} \, dV - \int_{\partial_N B} \bar{\boldsymbol{T}} \cdot \boldsymbol{\delta}\boldsymbol{u} \, dV - \int_B \boldsymbol{b} \cdot \boldsymbol{\delta}\boldsymbol{u} \, dV$$

• As  $\delta u$  is arbitrary in *B* and on  $\partial_N B$ , equaling the derivative to zero leads to

- 
$$\boldsymbol{\nabla} \cdot \boldsymbol{\sigma}^T + \boldsymbol{b} = 0$$
 in  $\boldsymbol{B}$ 

 $\pmb{\sigma}\cdot \pmb{n}=ar{T}$ 



on  $\partial_N B$ 



- Linear elasticity: Two-field functional (4)
  - Extremum of functional  $I(\boldsymbol{u}, \boldsymbol{\sigma}) : \mathbf{H}^{1}(B_{0}) \times \mathbf{H}^{0}(B_{0}) \rightarrow \mathbb{R}$

$$I(\boldsymbol{u}, \boldsymbol{\sigma}) = \int_{B} \left[ \boldsymbol{\sigma} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - U(\boldsymbol{\sigma}) \right] dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

Satisfies

$$\nabla \cdot \boldsymbol{\sigma}^{T} + \boldsymbol{b} = 0 \quad \text{in } \boldsymbol{B} \\ - \partial_{\boldsymbol{\sigma}} U(\boldsymbol{\sigma}) = \mathcal{H}^{-1} : \boldsymbol{\sigma} = \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) \text{ in } \boldsymbol{B} \\ - \boldsymbol{\sigma} \cdot \boldsymbol{n} = \bar{\boldsymbol{T}} \quad \text{on } \partial_{N} \boldsymbol{B} \\ - \boldsymbol{u} = \bar{\boldsymbol{u}} \quad \text{on } \partial_{D} \boldsymbol{B}$$

- So the extremum satisfies the equations
  - Weak form is the stationary point of the functional in  $\mathbf{H}^{1}(B_{0}) \times \mathbf{H}^{0}(B_{0})$
  - Corresponds to the exact solution  $u^{\text{exact}}$  of the strong form in  $C^2(B_0) \cap C^1(B_0)$ , if it exists





- Linear elasticity: Three-field functional
  - Weak form of the problem can be stated as finding  $\boldsymbol{u}(\boldsymbol{X}) \in \mathbf{H}^{1}(B_{0})$ such that  $a(\boldsymbol{u}, \delta \boldsymbol{u}) = b(\delta \boldsymbol{u}) \quad \forall \delta \boldsymbol{u} \in \mathbf{H}^{1}_{c}(B_{0}) \subset \mathbf{H}^{1}(B_{0})$
  - The solution corresponds to the extremum
    - Of the three-field functional  $I(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon})$  :  $\mathbf{H}^{1}(B_{0}) \times \mathbf{H}^{0}(B_{0}) \times \mathbf{H}^{0}(B_{0}) \to \mathbb{R}$

$$I(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}) = \int_{B} \left\{ U(\boldsymbol{\varepsilon}) + \boldsymbol{\sigma} : \left[ \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - \boldsymbol{\varepsilon} \right] \right\} dV - \int_{\partial_{D}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

• With the internal potential  $U(\boldsymbol{\varepsilon}) = \frac{1}{2}\boldsymbol{\varepsilon}: \mathcal{H}: \boldsymbol{\varepsilon}$ 





• Linear elasticity: Three-field functional (2)

- Functional 
$$I(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}) = \int_{B} \left\{ U(\boldsymbol{\varepsilon}) + \boldsymbol{\sigma} : \left[ \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - \boldsymbol{\varepsilon} \right] \right\} dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

• Directional derivative with respect to  $\boldsymbol{\sigma}$ 

$$I'(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}; \boldsymbol{\delta}\boldsymbol{\sigma}) = \int_{B} \boldsymbol{\delta}\boldsymbol{\sigma} : \left[\frac{1}{2}\left(\boldsymbol{\nabla}\otimes\boldsymbol{u} + \boldsymbol{u}\otimes\boldsymbol{\nabla}\right) - \boldsymbol{\varepsilon}\right] \, dV - \int_{\partial_{D}B} [\boldsymbol{u} - \bar{\boldsymbol{u}}] \cdot \boldsymbol{\delta}\boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS$$

- As on Neumann boundary  $\sigma$  is known, this equation holds for  $\forall \delta \boldsymbol{\sigma} \in \mathbf{H}_{s}^{0}(B_{0}) = \{\delta \boldsymbol{\sigma} \in \mathbf{H}^{0}(B_{0}) : \delta \boldsymbol{\sigma}(\partial_{N}B_{0}) = 0\}$
- As  $\delta \sigma$  is arbitrary in  $B_0$  and on  $\partial_D B$ , equaling the derivative to zero leads to

$$\begin{array}{ll} - \ \boldsymbol{u} = \bar{\boldsymbol{u}} & \text{on } \partial_D \boldsymbol{B} \\ - \ \boldsymbol{\varepsilon} = \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) & \text{ in } \boldsymbol{B} \end{array}$$



• Extremum of the functional satisfies the compatibility and Dirichlet BC



• Linear elasticity: Three-field functional (3)

- Functional 
$$I(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}) = \int_{B} \left\{ U(\boldsymbol{\varepsilon}) + \boldsymbol{\sigma} : \left[ \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - \boldsymbol{\varepsilon} \right] \right\} dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

• Directional derivative with respect to  $\varepsilon$ 

$$I'(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}; \boldsymbol{\delta\varepsilon}) = \int_{B} \left[\partial_{\boldsymbol{\varepsilon}} U(\boldsymbol{\varepsilon}) - \boldsymbol{\sigma}\right] : \boldsymbol{\delta\varepsilon} \ dV$$

• As  $\delta \varepsilon$  is arbitrary in  $B_0$ , equaling the derivative to zero leads to

$$\boldsymbol{\sigma}=\partial_{\boldsymbol{\varepsilon}}U\left(\boldsymbol{\varepsilon}
ight)=\mathcal{H}:\boldsymbol{\varepsilon}\quad\text{in }\boldsymbol{B}$$

- As on Neumann boundary  $\sigma$ , and so  $\varepsilon$ , are known validity is ensured  $\forall \delta \varepsilon \in \mathbf{H}_{s}^{0}(B_{0}) = \{\delta \varepsilon \in \mathbf{H}^{0}(B_{0}) : \delta \varepsilon (\partial_{N}B_{0}) = 0\}$
- Extremum of the functional satisfies the material behavior





• Linear elasticity: Three-field functional (4)

- Functional 
$$I(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}) = \int_{B} \left\{ U(\boldsymbol{\varepsilon}) + \boldsymbol{\sigma} : \left[ \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - \boldsymbol{\varepsilon} \right] \right\} dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

• Directional derivative with respect to *u* 

$$I'(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}; \boldsymbol{\delta}\boldsymbol{u}) = \int_{B} \boldsymbol{\sigma} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{\delta}\boldsymbol{u} + \boldsymbol{\delta}\boldsymbol{u} \otimes \boldsymbol{\nabla} \right) dV - \int_{\partial_{D}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{\delta}\boldsymbol{u} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{\delta}\boldsymbol{u} \, dV - \int_{\partial_{D}B} \boldsymbol{\delta}\boldsymbol{u} \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS$$

- $\delta \boldsymbol{u} \in \mathbf{H}_{c}^{1}(B_{0}) = \left\{ \delta \boldsymbol{u} \in \mathbf{H}^{1}(B_{0}) : \delta \boldsymbol{u}(\partial_{D}B_{0}) = 0 \right\}$
- Applying integration by parts and Gauss theorem

$$\begin{split} I'\left(\boldsymbol{u}, \ \boldsymbol{\sigma}, \ \boldsymbol{\varepsilon}; \ \delta \boldsymbol{u}\right) &= -\int_{B} \boldsymbol{\nabla} \cdot \boldsymbol{\sigma}^{T} \cdot \delta \boldsymbol{u} \, dV + \int_{\partial_{N}B} \delta \boldsymbol{u} \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dV - \\ \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \delta \boldsymbol{u} \, dS - \int_{B} \boldsymbol{b} \cdot \delta \boldsymbol{u} \, dV \end{split}$$





• Linear elasticity: Three-field functional (5)

- Functional 
$$I(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}) = \int_{B} \left\{ U(\boldsymbol{\varepsilon}) + \boldsymbol{\sigma} : \left[ \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - \boldsymbol{\varepsilon} \right] \right\} dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

• Directional derivative with respect to u, integration by parts and Gauss theorem

$$I'(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}; \boldsymbol{\delta u}) = -\int_{B} \boldsymbol{\nabla} \cdot \boldsymbol{\sigma}^{T} \cdot \boldsymbol{\delta u} \, dV + \int_{\partial_{N}B} \boldsymbol{\delta u} \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dV - \int_{\partial_{N}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{\delta u} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{\delta u} \, dV$$

• As  $\delta u$  is arbitrary in  $B_0$  and on  $\partial_N B$ , equaling the derivative to zero leads to

– 
$$\pmb{\sigma}\cdot \pmb{n}=ar{\pmb{T}}$$
 on  $\partial_{_N\!\pmb{B}}$ 

$$oldsymbol{-} oldsymbol{
abla} \cdot oldsymbol{\sigma}^T + oldsymbol{b} = 0 \quad ext{in } oldsymbol{B}$$





- Linear elasticity: Three-field functional (6)
  - Extremum of functional  $I(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}) : \mathbf{H}^{1}(B_{0}) \times \mathbf{H}^{0}(B_{0}) \times \mathbf{H}^{0}(B_{0}) \to \mathbb{R}$

$$I(\boldsymbol{u}, \boldsymbol{\sigma}, \boldsymbol{\varepsilon}) = \int_{B} \left\{ U(\boldsymbol{\varepsilon}) + \boldsymbol{\sigma} : \left[ \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) - \boldsymbol{\varepsilon} \right] \right\} dV - \int_{\partial_{D}B} \bar{\boldsymbol{T}} \cdot \boldsymbol{u} \, dS - \int_{\partial_{D}B} \left[ \boldsymbol{u} - \bar{\boldsymbol{u}} \right] \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \, dS - \int_{B} \boldsymbol{b} \cdot \boldsymbol{u} \, dV$$

Satisfies

- 
$$\boldsymbol{\nabla} \cdot \boldsymbol{\sigma}^T + \boldsymbol{b} = 0$$
 in  $\boldsymbol{B}$ 

$$- \sigma = \partial_{\boldsymbol{\varepsilon}} U(\boldsymbol{\varepsilon}) = \mathcal{H} : \boldsymbol{\varepsilon} \text{ in } \boldsymbol{B}$$
$$- \boldsymbol{\varepsilon} = \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) \text{ in } \boldsymbol{B}$$

- $u = ar{u}$  on  $\partial_D B$
- So the extremum satisfies the equations
  - Weak form is the stationary point of the functional in  $\mathbf{H}^{1}(B_{0}) \times \mathbf{H}^{0}(B_{0}) \times \mathbf{H}^{0}(B_{0})$
  - Corresponds to the exact solution  $u^{\text{exact}}$  of the strong form in  $C^2(B_0) \cap C^1(B_0)$ , if it exists





Weak form of the continuum equations for beams

• Euler-Bernoulli beam equations

$$- \frac{\partial^2}{\partial x^2} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) = f(x) \text{ for } x \text{ in } ]0 L[$$

- Boundary conditions

• 
$$-\frac{\partial}{\partial x} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) \Big|_{0,L} = \bar{T}_z \Big|_{0,L}$$
 or  $\boldsymbol{u}_z \Big|_{0,L} = \bar{\boldsymbol{u}}_z \Big|_{0,L}$   
•  $-EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \Big|_{0,L} = \bar{M}_{xx} \Big|_{0,L}$  or  $\partial_x \boldsymbol{u}_z \Big|_{0,L} = \bar{\theta} \Big|_{0,L}$ 

- Let us define the boundaries such that
  - Displacement constrained on  $\partial_U L$  & vertical load known on  $\partial_N L = \partial L \setminus \partial_U L$
  - Rotation constrained on  $\partial_T L$  & momentum known on  $\partial_M L = \partial L \setminus \partial_T L$
- Exact solution can be found as  $oldsymbol{u}_z^{ ext{exact}} \in \mathrm{H}^4\left( \left] 0 \; L \right[ 
  ight)$







#### Weak form of the continuum equations for beams

- Weak form
  - For x in ]0 L[  $\frac{\partial^2}{\partial x^2} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) = f(x)$

 $u_{z} = 0$   $du_{z}/dx = 0$  L  $T_{z}$  M > 0

Multiply the equation by

$$\delta \boldsymbol{u}_{z} \in \mathbf{H}_{c}^{2}\left(\left]0\;L\right[\right) = \left\{\delta \boldsymbol{u}_{z} \in \mathbf{H}^{2}\left(\left]0\;L\right[\right)\;:\; \left.\delta \boldsymbol{u}_{z}\right|_{\partial_{U}L} = \left.\partial_{x}\delta \boldsymbol{u}_{z}\right|_{\partial_{T}L} = 0\right\}$$

and integrate the product on the beam length

$$\Longrightarrow \int_{0}^{L} \frac{\partial^{2} u_{z}}{\partial x^{2}} \left( EI \frac{\partial^{2} u_{z}}{\partial x^{2}} \right) \delta u_{z} \, dx - \int_{0}^{L} f(x) \, \delta u_{z} \, dx = 0 \ \forall \delta u_{z} \in \mathbf{H}_{c}^{2} \left( \left] 0 \ L \right[ \right)$$

• Integrations by parts

$$\implies \int_{0}^{L} EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial^{2} \delta \boldsymbol{u}_{z}}{\partial x^{2}} dx + \frac{\partial}{\partial x} \left( EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) \delta \boldsymbol{u}_{z} \Big|_{0}^{L} - \left( EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) \frac{\partial \delta \boldsymbol{u}_{z}}{\partial x} \Big|_{0}^{L} - \int_{0}^{L} f(x) \,\delta \boldsymbol{u}_{z} \, dx = 0 \quad \forall \delta \boldsymbol{u}_{z} \in \mathbf{H}_{c}^{2}(]0 \ L[)$$



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- Weak form (2)
  - Starting from

$$\int_{0}^{L} EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial^{2} \delta \boldsymbol{u}_{z}}{\partial x^{2}} dx + \frac{\partial}{\partial x} \left( EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) \delta \boldsymbol{u}_{z} \Big|_{0}^{L} - \left( EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) \frac{\partial \delta \boldsymbol{u}_{z}}{\partial x} \Big|_{0}^{L} - \int_{0}^{L} f(x) \,\delta \boldsymbol{u}_{z} \, dx = 0 \quad \forall \delta \boldsymbol{u}_{z} \in \mathbf{H}_{c}^{2} \left( \left] 0 \ L \right[ \right)$$

$$\implies \int_{0}^{L} EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial^{2} \delta \boldsymbol{u}_{z}}{\partial x^{2}} dx = \int_{0}^{L} f(x) \,\delta \boldsymbol{u}_{z} \,dx - \frac{\partial}{\partial x} \left( EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) n_{x} \delta \boldsymbol{u}_{z} \Big|_{\partial_{N}L} + \left( EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) \frac{\partial \delta \boldsymbol{u}_{z}}{\partial x} n_{x} \Big|_{\partial_{M}L} \quad \forall \delta \boldsymbol{u}_{z} \in \mathbf{H}_{c}^{2} \left( \left[ 0 \right] L \right] \right)$$

• With

$$\begin{pmatrix} -\frac{\partial}{\partial x} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) = \bar{T}_z \quad \text{on } \partial_N \boldsymbol{B} \\ -EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} = \bar{M}_{xx} \text{ on } \partial_M \boldsymbol{B} \end{pmatrix}$$





# • Weak form (3)

- Combining previous expressions leads to the weak form statement:
  - Finding  $oldsymbol{u}_z\in\mathrm{H}^2\left(\left]0\;L[
    ight)$  and not in  $\mathrm{H}^4$  such that

• 
$$\int_{0}^{L} EI \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial^{2} \delta \boldsymbol{u}_{z}}{\partial x^{2}} dx = \int_{0}^{L} f(x) \,\delta \boldsymbol{u}_{z} \,dx + n_{x} \bar{T}_{z} \,\delta \boldsymbol{u}_{z} \big|_{\partial_{N}L} + n_{x} \bar{M}_{xx} \frac{\partial \left(-\delta \boldsymbol{u}_{z}\right)}{\partial x} \Big|_{\partial_{M}L} \quad \forall \delta \boldsymbol{u}_{z} \in \mathbf{H}_{c}^{2}\left(\left[0 \ L\right]\right)$$

- Remarks  
• For small deflections 
$$\theta_y = -\frac{\partial u_z}{\partial x}$$
  $u_z = 0$   
 $du_z/dx = 0$ 

- For sufficiently smooth loadings and boundary conditions
  - A solution in  $H^2(]0 L[)$  can be found
  - A solution in  $C^4(]0 L[)$  cannot always by found





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L

## • Bilinear form

Let us define the bilinear form:

$$a\left(\boldsymbol{u}_{z},\,\boldsymbol{v}_{z}\right) = \int_{0}^{L} EI \frac{\partial^{2}\boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial^{2}\boldsymbol{v}_{z}}{\partial x^{2}} \, dx \quad : \mathbf{H}^{2}\left(\left[0\,L\right]\right) \times \mathbf{H}^{2}\left(\left[0\,L\right]\right) \to \mathbb{R}$$

• Which is symmetrical  $a\left(\boldsymbol{u}_{z},\,\boldsymbol{v}_{z}
ight)=a\left(\boldsymbol{v}_{z},\,\boldsymbol{u}_{z}
ight)$  &

- Positive  $a(\boldsymbol{u}_z, \, \boldsymbol{u}_z) > 0 \; \forall \boldsymbol{u}_z \in \mathrm{H}^2(]0 \; L[), \; \boldsymbol{u}_z \neq 0$
- Let us define the linear form  $\mathrm{H}^2\left(\left]0\;L[\right)
  ightarrow\mathbb{R}$  :

$$b(\boldsymbol{v}_{z}) = \int_{0}^{L} f(x) \boldsymbol{v}_{z} dx + n_{x} \bar{T}_{z} \boldsymbol{v}_{z} \big|_{\partial_{N}L} + n_{x} \bar{M}_{xx} \frac{\partial(-\boldsymbol{v}_{z})}{\partial x} \Big|_{\partial_{M}L}$$

- Weak form of the problem can be stated as finding  $u_z \in H^2(]0 L[)$ such that  $a(u_z, \delta u_z) = b(\delta u_z) \quad \forall \delta u_z \in H^2_c(]0 L[) \subset H^2(]0 L[)$ 





#### Weak form of the continuum equations for beams

### One-field functional

$$- \text{ Solution } \boldsymbol{u}_{z} \in \mathrm{H}^{2}\left(\left]0\ L\right[\right) \text{ of the bilinear form} \\ a\left(\boldsymbol{u}_{z},\ \delta\boldsymbol{u}_{z}\right) = b\left(\delta\boldsymbol{u}_{z}\right) \quad \forall \delta\boldsymbol{u}_{z} \in \mathrm{H}^{2}_{c}\left(\left]0\ L\right[\right) \subset \mathrm{H}^{2}\left(\left]0\ L\right[\right) \\ \left\{ \begin{array}{l} a\left(\boldsymbol{u}_{z},\ \boldsymbol{v}_{z}\right) = \int_{0}^{L} EI \frac{\partial^{2}\boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial^{2}\boldsymbol{v}_{z}}{\partial x^{2}} \, dx \\ b\left(\boldsymbol{v}_{z}\right) = \int_{0}^{L} f\left(x\right) \boldsymbol{v}_{z} \, dx + n_{x} \bar{T}_{z} \boldsymbol{v}_{z} \right|_{\partial_{N}L} + n_{x} \bar{M}_{xx} \frac{\partial\left(-\boldsymbol{v}_{z}\right)}{\partial x} \right|_{\partial_{M}L} \end{array} \right\}$$

- Is the extremum of the one-field functional

$$I(\boldsymbol{u}_{z}) = \int_{0}^{L} \frac{EI}{2} \left( \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right)^{2} dx - \int_{0}^{L} f(x) \boldsymbol{u}_{z} dx - n_{x} \bar{T}_{z} \boldsymbol{u}_{z} \Big|_{\partial_{N}L} - n_{x} \bar{M}_{xx} \frac{\partial (-\boldsymbol{u}_{z})}{\partial x} \Big|_{\partial_{M}L} : \mathrm{H}^{2}(]0 \ L[) \to \mathbb{R}$$

- Indeed  $I'(\boldsymbol{u}_z; \, \delta \boldsymbol{u}_z) = a(\boldsymbol{u}_z, \, \delta \boldsymbol{u}_z) b(\delta \boldsymbol{u}_z) = 0$
- As  $u_z$  is known on  $\partial_N L \& \partial_x u_z$  on  $\partial_M L$

-  $\delta u_z$  belongs to  $H_c^2$ 





Two-field functional

- Functional  $I(\boldsymbol{u}_z, M_{xx})$  :  $\mathrm{H}^2(]0 L[) \times \mathrm{H}^0(]0 L[) \to \mathbb{R}$ 

$$I(\boldsymbol{u}_{z}, M_{xx}) = \int_{0}^{L} \left[ M_{xx} \frac{\partial^{2} (-\boldsymbol{u}_{z})}{\partial x^{2}} - U(M_{xx}) \right] dx - \int_{0}^{L} f(x) \boldsymbol{u}_{z} dx - n_{x} \bar{T}_{z} \boldsymbol{u}_{z} \big|_{\partial_{N}L} - n_{x} \bar{M}_{xx} \frac{\partial (-\boldsymbol{u}_{z})}{\partial x} \Big|_{\partial_{M}L} + n_{x} \partial_{x} M_{xx} (\boldsymbol{u}_{z} - \bar{\boldsymbol{u}}_{z}) \big|_{\partial_{U}L} - n_{x} M_{xx} \left[ \frac{\partial (-\boldsymbol{u}_{z})}{\partial x} - \bar{\theta}_{y} \right] \Big|_{\partial_{T}L}$$

- With the internal energy  $U(M_{xx}) = \frac{M_{xx}^2}{2EI}$ - Bending moment  $M_{xx} = EI\kappa = EI\frac{\partial^2(-\boldsymbol{u}_z)}{\partial x^2}$  (obtained at the extremum)
- Solution of the weak form corresponds to the stationary point of the functional
  - See annex I





- Three-field functional
  - Functional  $I(\boldsymbol{u}_z, M_{xx}, \kappa)$  :  $\mathrm{H}^2(]0 L[) \times \mathrm{H}^0(]0 L[) \times \mathrm{H}^0(]0 L[) \to \mathbb{R}$

$$I(\boldsymbol{u}_{z}, M_{xx}, \kappa) = \int_{0}^{L} \left[ U(\kappa) - M_{xx} \left( \kappa + \frac{\partial^{2} \boldsymbol{u}_{z}}{\partial x^{2}} \right) \right] dx - \int_{0}^{L} f(x) \boldsymbol{u}_{z} dx - n_{x} \bar{T}_{z} \boldsymbol{u}_{z} \big|_{\partial_{N}L} - n_{x} \bar{M}_{xx} \frac{\partial (-\boldsymbol{u}_{z})}{\partial x} \big|_{\partial_{M}L} + n_{x} \partial_{x} M_{xx} (\boldsymbol{u}_{z} - \bar{\boldsymbol{u}}_{z}) \big|_{\partial_{U}L} - n_{x} M_{xx} \left[ \frac{\partial (-\boldsymbol{u}_{z})}{\partial x} - \bar{\theta}_{y} \right] \Big|_{\partial_{T}L}$$

- With the internal energy  $U(\kappa) = \frac{EI}{2}\kappa^2$
- Solution of the weak form corresponds to the stationary point of the functional
  - See annex II





- General weak form of linear elasticity
  - Weak form of the problem can be stated as finding  $\boldsymbol{u}(\boldsymbol{X}) \in \mathbf{H}^{1}(B_{0})$ such that  $a(\boldsymbol{u}, \delta \boldsymbol{u}) = b(\delta \boldsymbol{u}) \quad \forall \delta \boldsymbol{u} \in \mathbf{H}^{1}_{c}(B_{0}) \subset \mathbf{H}^{1}(B_{0})$

$$\text{With} \begin{cases} a\left(\boldsymbol{u},\,\boldsymbol{v}\right) = \int_{B} \frac{1}{2}\left(\boldsymbol{\nabla}\otimes\boldsymbol{v} + \boldsymbol{v}\otimes\boldsymbol{\nabla}\right) : \mathcal{H}: \frac{1}{2}\left(\boldsymbol{\nabla}\otimes\boldsymbol{u} + \boldsymbol{u}\otimes\boldsymbol{\nabla}\right) dV \\ b\left(\boldsymbol{v}\right) = \int_{\partial_{N}B} \bar{\boldsymbol{T}}\cdot\boldsymbol{v}\,dS + \int_{B} \boldsymbol{b}\cdot\boldsymbol{v}\,dV \end{cases}$$

- Finite-element method
  - Instead of seeking  $\boldsymbol{u}(\boldsymbol{X}) \in \mathbf{H}^{1}(B_{0})$ ,  $\forall \delta \boldsymbol{u} \in \mathbf{H}^{1}_{c}(B_{0})$
  - We are particularizing
    - The solution  $u \rightarrow u_h$ : test functions
    - The virtual displacements  $\delta u \rightarrow \delta u_h$ : trial functions
    - in a manifold which is
      - A polynomial approximation
      - The same for test and trial functions: Galerkin method
      - Built on an approximation  $B_h$  of the body B: The finite-element discretization





### Finite element discretization of the weak form

- Finite-element discretization
  - Approximation of the body
    - Reference configuration  $B_{0h} = \bigcup_{1}^{E} \bar{\Omega}_{0}^{e}$
    - Similar in configuration *B*

– With

- Interior of one element  $\ \Omega_0^e$  with  $\ \Omega_0^e \cap_{\forall e' \neq e} \ \Omega_0^{e'} = 0$
- Boundary of one element  $\partial\Omega_0^e$
- $\bar{\Omega}_0^e = \Omega_0^e \cap \partial \Omega_0^e$
- Dirichlet boundary of an element (can be empty):  $\partial_D \Omega_0^e = \partial \Omega_0^e \cap \partial_D B_{0h} = 0$

 $\Omega^{l}$ 

 $\partial_N B$ 

 $\Omega^2$ 

 $B_h$ 

R

**O**e

- Neumann boundary of an element (can be empty):  $\partial_N \Omega_0^e = \partial \Omega_0^e \cap \partial_N B_{0h} = 0$
- Characteristic size
  - Size of an element  $h_e = \frac{\Omega_0^e}{\partial \Omega_0^e}$

• Size of the mesh 
$$h_{\max} = \max_{e} \left( h_e = \frac{\Omega_0^e}{\partial \Omega_0^e} \right)$$





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 $u = ar{u}$ 

 $\partial_D B$ 

### Finite element discretization of the weak form

T  $\partial_N b$ 

 $\Omega^e$ 

- Polynomial approximation
  - The approximation should be
    - In  $\mathbf{H}^{1}(B_{0h})$  on the whole body (due to the weak form statement)
      - Meaning (absolute) continuity should be

ensured

- Meaning (absolute) continuity of the derivative is not always ensured

 $\partial_N B$ 

 $\Omega^{I}$ 

 $\Omega^2$ 

 $\boldsymbol{B}_h$ 

B

- A polynomial approximation  $\mathbb{P}^{k}(\Omega_{0}^{e})$  of degree up to k on each element
- Eventually
  - Test functions  $\boldsymbol{u}_h \in X_h^k$ with  $X_h^k = \left\{ \boldsymbol{u}_h \in \mathbf{H}^1(B_{0h}) : \boldsymbol{u}_h|_{\Omega_0^e} \in \mathbb{P}^k(\Omega_0^e) \ \forall \Omega_0^e \in B_{0h} \right\} \subset \mathbf{H}^1(B_{0h})$ • Trial functions  $\delta \boldsymbol{u}_h \in X_h^k$

with 
$$X_c^k = \left\{ \delta \boldsymbol{u}_h \in X_h^k : \delta \boldsymbol{u}_h |_{\partial_D \Omega_0^e} = 0 \ \forall \Omega_0^e \in B_{0h} \right\} \subset \mathbf{H}_c^1 \left( B_{0h} \right)$$





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 $\partial_D B$ 

 $u = ar{u}$ 

#### Finite element discretization of the weak form



- Remark
  - Solution of the strong form:  $u^{\text{exact}}$  in  $C^2(B_0) \cap C^1(B_0)$ , if it exists
  - Solution of the general weak form:  $oldsymbol{u}\left(oldsymbol{X}
    ight)\in\mathbf{H}^{1}\left(B_{0}
    ight)$ 
    - Corresponds to  $u^{\text{exact}}$  in  $C^2(B_0) \cap C^1(B_0)$ , if it exists
  - Solution of the FE-approximation:  $oldsymbol{u}_{h}\left(oldsymbol{x}
    ight)\in X_{h}^{k}$ 
    - Verifies the strong form only on average





- Does the FE weak form converge toward the exact solution?
  - First mandatory property: consistency
    - The exact solution of the problem  $u^{\text{exact}}$  in  $C^2(B_0)\cap C^1(B_0)$ , which satisfies the strong form, should also satisfy  $a(u_h, \delta u_h) = b(\delta u_h) \quad \forall \delta u_h \in X_c^k$
    - Proof

- 
$$a\left(\boldsymbol{u}^{\text{exact}}, \, \delta \boldsymbol{u}_{h}\right) = \sum_{e} \int_{\Omega^{e}} \frac{1}{2} \left(\boldsymbol{\nabla} \otimes \delta \boldsymbol{u}_{h} + \delta \boldsymbol{u}_{h} \otimes \boldsymbol{\nabla}\right) : \mathcal{H} :$$
  
 $\frac{1}{2} \left(\boldsymbol{\nabla} \otimes \boldsymbol{u}^{\text{exact}} + \boldsymbol{u}^{\text{exact}} \otimes \boldsymbol{\nabla}\right) dV$   
- As for the exact solution  $\mathcal{H} : \frac{1}{2} \left(\boldsymbol{\nabla} \otimes \boldsymbol{u}^{\text{exact}} + \boldsymbol{u}^{\text{exact}} \otimes \boldsymbol{\nabla}\right) = \boldsymbol{\sigma}$   
 $\implies a\left(\boldsymbol{u}^{\text{exact}}, \, \delta \boldsymbol{u}_{h}\right) = \int_{B_{h}} \boldsymbol{\nabla} \otimes \delta \boldsymbol{u}_{h} : \boldsymbol{\sigma}^{T} dV$   
 $= -\int_{B_{h}} \boldsymbol{\nabla} \cdot \boldsymbol{\sigma}^{T} \cdot \delta \boldsymbol{u}_{h} + \int_{\partial B_{h}} \delta \boldsymbol{u}_{h} \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} dS$   
- As  $\delta \boldsymbol{u}_{h} \in X_{c}^{k}$ ,  $\boldsymbol{\nabla} \cdot \boldsymbol{\sigma}^{T} + \boldsymbol{b} = 0 \ \forall \boldsymbol{X} \in B_{0}$  &  $\boldsymbol{\sigma} \cdot \boldsymbol{n} = \bar{T} \ \forall \boldsymbol{X} \in \partial_{N} B_{0}$   
 $\implies a\left(\boldsymbol{u}^{\text{exact}}, \, \delta \boldsymbol{u}_{h}\right) = \int_{B_{h}} \boldsymbol{b} \cdot \delta \boldsymbol{u}_{h} + \int_{\partial_{N} B_{h}} \delta \boldsymbol{u}_{h} \cdot \bar{T} dS = b\left(\delta \boldsymbol{u}_{h}\right)$ 



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- Does the FE weak form converge toward the exact solution (2)?
  - Second mandatory property: stability
    - The deformation energy should be bounded by (half) the work of external forces
    - For a conservative formulation the equality should be obtained
    - Proof
      - Let us assume constrained displacements (on  $\partial_D B$ ) equal to zero, so  $oldsymbol{u}_h \in X^k_c$
      - The energy norm (twice the internal energy) :  $\mathbf{H}_{c}^{1}(B_{0h}) \to \mathbb{R}^{+}$ is defined as  $\||\boldsymbol{u}\||^{2} = \sum_{e} \left\|\sqrt{\mathcal{H}}: \boldsymbol{\nabla} \otimes \boldsymbol{u}\right\|_{\mathbf{L}^{2}(\Omega^{e})}^{2}$

» This is a norm as it is equal to zero only if u=0 on  $B_{0h}$  (in  $\mathrm{H}^{1}_{c}\left(B_{0h}\right)$  )

- Considering  $\boldsymbol{u}_h \in X_c^k \subset \mathbf{H}_c^1(B_{0h})$  a particular choice for  $\delta \boldsymbol{u}_h \in X_c^k$ 

$$W_{\text{ext}} = \int_{B_h} \boldsymbol{b} \cdot \boldsymbol{u}_h + \int_{\partial_N B_h} \boldsymbol{u}_h \cdot \bar{\boldsymbol{T}} dS = b\left(\boldsymbol{u}_h\right)$$

- The bilinear form allows to write

$$W_{\text{ext}} = b(\boldsymbol{u}_h) = a(\boldsymbol{u}_h, \, \boldsymbol{u}_h) = \||\boldsymbol{u}_h\||^2$$





- Does the FE weak form converge toward the exact solution (3)?
  - Third property: what is the convergence rate toward the exact solution?
    - Some preliminary results

- Energy norm 
$$\||\boldsymbol{u}\||^2 = \sum_e \left\|\sqrt{\mathcal{H}}: \boldsymbol{\nabla} \otimes \boldsymbol{u}\right\|_{\mathbf{L}^2(\Omega^e)}^2 : \mathbf{H}_c^1(B_{0h}) \to \mathbb{R}^+$$

– Upper bound of the bilinear form in  $\mathbf{H}^1_c imes \mathbf{H}^1_c o \mathbb{R}$ 

$$|a(\boldsymbol{u},\,\boldsymbol{v})| = \left|\sum_{e} \int_{\Omega^{e}} \frac{1}{2} \left(\boldsymbol{\nabla} \otimes \boldsymbol{v} + \boldsymbol{v} \otimes \boldsymbol{\nabla}\right) : \mathcal{H} : \frac{1}{2} \left(\boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla}\right) dV$$

$$\implies |a(\boldsymbol{u}, \boldsymbol{v})| \leq \sum_{e} \left| \int_{\Omega^{e}} \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{v} + \boldsymbol{v} \otimes \boldsymbol{\nabla} \right) : \mathcal{H} : \frac{1}{2} \left( \boldsymbol{\nabla} \otimes \boldsymbol{u} + \boldsymbol{u} \otimes \boldsymbol{\nabla} \right) dV$$
$$\implies |a(\boldsymbol{u}, \boldsymbol{v})| \leq \sum_{e} \left\| \sqrt{\mathcal{H}} : \boldsymbol{\nabla} \otimes \boldsymbol{u} \right\|_{\mathbf{L}^{2}(\Omega^{e})} \left\| \sqrt{\mathcal{H}} : \boldsymbol{\nabla} \otimes \boldsymbol{v} \right\|_{\mathbf{L}^{2}(\Omega^{e})}$$

» Using the Cauchy-Swartz inequality

$$|a(\boldsymbol{u}, \boldsymbol{v})| \leq \sqrt{\sum_{e} \left\| \sqrt{\mathcal{H}} : \boldsymbol{\nabla} \otimes \boldsymbol{u} \right\|_{\mathbf{L}^{2}(\Omega^{e})}^{2} \sum_{e'} \left\| \sqrt{\mathcal{H}} : \boldsymbol{\nabla} \otimes \boldsymbol{v} \right\|_{\mathbf{L}^{2}(\Omega^{e'})}^{2}}$$

 $\implies |a\left( oldsymbol{u}, \, oldsymbol{v} 
ight)| \leq \||oldsymbol{u}|\|\, \||oldsymbol{v}|\|$ 





- Does the FE weak form converge toward the exact solution (4)?
  - Third property: what is the convergence rate toward the exact solution (2)?
    - Some preliminary results (2)
      - Orthogonality relation
        - » By linearity:  $a\left(\boldsymbol{u}_{h}-\boldsymbol{u}^{\mathrm{exact}},\,\boldsymbol{v}_{h}\right)=a\left(\boldsymbol{u}_{h},\,\boldsymbol{v}_{h}\right)-a\left(\boldsymbol{u}^{\mathrm{exact}},\,\boldsymbol{v}_{h}\right)$
        - » Using consistency & weak form statement leads to

$$a\left(\boldsymbol{u}_{h}-\boldsymbol{u}^{\mathrm{exact}},\,\boldsymbol{v}_{h}\right)=b\left(\boldsymbol{v}_{h}\right)-b\left(\boldsymbol{v}_{h}\right)=0\;\;\forall\boldsymbol{u}_{h},\;\boldsymbol{v}_{h}\in X_{c}^{k}$$

- Interpolation  $\boldsymbol{u}^{k}\in X_{c}^{k}$  of the exact solution  $\boldsymbol{u}^{\mathrm{exact}}\left(\boldsymbol{X}
ight)\in\mathbf{H}_{c}^{2}\left(B_{0}
ight)$ 

in the FE representation is defined such that

$$\int_{B_h} \left( \boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^k \right) \cdot \boldsymbol{v} \, dV = 0 \; \forall \boldsymbol{v} \in X_c^k$$

- Interpolation theory: for  $\boldsymbol{u}^k \in \mathbb{P}^k (\Omega_0^e)$  interpolating  $\boldsymbol{u}^{\text{exact}} \in \mathbf{H}^{k+1} (\Omega_0^e)$ it can be shown\* that  $\|\boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^k\|_{\mathbf{H}^q (\Omega_0^e)} \leq Ch_e^{k+1-q} \|\boldsymbol{u}^{\text{exact}}\|_{\mathbf{H}^{k+1} (\Omega_0^e)}$ with *C* independent on the element size  $h_e$  and  $\forall 0 \leq q \leq k+1$ 

\*Ciarlet PG. The Finite Element Method for Elliptic Problems. North-Holland: Amsterdam, 1978, ISBN 0 4448 5028 7





- Does the FE weak form converge toward the exact solution (5)?
  - Third property: what is the convergence rate toward the exact solution (3)?
    - Some preliminary results: Summary

- Energy norm 
$$\||\boldsymbol{u}\||^2 = \sum_{e} \left\|\sqrt{\mathcal{H}}: \boldsymbol{\nabla} \otimes \boldsymbol{u}\right\|_{\mathbf{L}^2(\Omega^e)}^2 : \mathbf{H}_c^1(B_{0h}) \to \mathbb{R}^+$$

– Upper bound of the bilinear form in  $\mathbf{H}_{c}^{1} imes \mathbf{H}_{c}^{1} 
ightarrow \mathbb{R}$  :  $|a\left(oldsymbol{u}, oldsymbol{v}
ight)| \leq \||oldsymbol{u}|\| \, \||oldsymbol{v}|\|$ 

- Orthogonality  $a\left(\boldsymbol{u}_{h}-\boldsymbol{u}^{\mathrm{exact}},\,\boldsymbol{v}_{h}
  ight)=0 \;\;\forall \boldsymbol{u}_{h},\; \boldsymbol{v}_{h}\in X_{c}^{k}$
- Interpolation theory: for  $\boldsymbol{u}^{k} \in \mathbb{P}^{k}\left(\Omega_{0}^{e}\right)$  interpolating  $\boldsymbol{u}^{\mathrm{exact}} \in \mathbf{H}^{k+1}\left(\Omega_{0}^{e}\right)$

one has 
$$\left\| \boldsymbol{u}^{\mathrm{exact}} - \boldsymbol{u}^k \right\|_{\mathbf{H}^q\left(\Omega_0^e\right)} \leq Ch_e^{k+1-q} \left\| \boldsymbol{u}^{\mathrm{exact}} \right\|_{\mathbf{H}^{k+1}\left(\Omega_0^e\right)}$$
,  $q \leq k+1$ 

• If the method converges

- The interpolated error  $oldsymbol{e}^k = oldsymbol{u}_h - oldsymbol{u}^k$  should converge toward zero

- With an optimal rate with the mesh size
- Analyzing  $\left\| \left| \boldsymbol{e}^k \right| \right\|^2 = a \left( \boldsymbol{u}_h \boldsymbol{u}^k, \, \boldsymbol{u}_h \boldsymbol{u}^k \right)$  & using the preliminary results

- Annex III:  $\implies \||\boldsymbol{e}^k\|\| \leq Ch_{\max}^k |\boldsymbol{u}^{\operatorname{exact}}|_{\mathbf{H}^{k+1}(B_h)}$ 





- Does the FE weak form converge toward the exact solution (6)?
  - Third property: what is the convergence rate toward the exact solution (4)?
    - Convergence rate in the energy norm
      - With respect to the mesh size  $\left\| \left\| \boldsymbol{e}^k \right\| \right\| \leq Ch_{\max}^k \left\| \boldsymbol{u}^{\mathrm{exact}} \right\|_{\mathbf{H}^{k+1}(B_h)}$
      - Is equal to the polynomial order







- Does the FE weak form converge toward the exact solution (7)?
  - Fourth property: what is the convergence rate of the displacement?
    - For the problem under consideration

$$- a (\boldsymbol{u}_h, \, \delta \boldsymbol{u}_h) = b (\delta \boldsymbol{u}_h) \quad \forall \delta \boldsymbol{u}_h \in X_c^k$$
  
- With  $b (\boldsymbol{v}_h) = \sum_e \int_{\partial_N \Omega^e} \bar{\boldsymbol{T}} \cdot \boldsymbol{v}_h \, dS + \sum_e \int_{\Omega^e} \boldsymbol{b} \cdot \boldsymbol{v}_h \, dV$ 

• Let us consider a dual problem governed by loadings (  $ar{m{T}}^d$  ,  $m{b}^d$  ), with

$$- b^{d}(\boldsymbol{v}_{h}) = \sum_{e} \int_{\partial_{N}\Omega^{e}} \bar{\boldsymbol{T}}^{d} \cdot \boldsymbol{v}_{h} \, dS + \sum_{e} \int_{\Omega^{e}} \boldsymbol{b}^{d} \cdot \boldsymbol{v}_{h} \, dV$$

- With  $\boldsymbol{u}_h^d$  the FE solution satisfying  $a\left(\boldsymbol{u}_h^d,\,\delta\boldsymbol{u}_h\right)=b^d\left(\delta\boldsymbol{u}_h\right)$   $\forall\delta\boldsymbol{u}_h\in X_c^k$ 

– With  $oldsymbol{u}^{d,\,k}$  the interpolation in  $X^k_c$  of the exact solution  $oldsymbol{u}^{d,\,\mathrm{exact}}\in\mathbf{H}^2_c\left(B_0
ight)$ 

#### of the dual problem

- Let us consider the error of the initial problem:  $m{e}=m{u}_h-m{u}^{ ext{exact}}$ 
  - e is a possible particular choice as virtual displacement

$$\implies b^{d}\left(\boldsymbol{e}\right) = a\left(\boldsymbol{u}^{d,\,\mathrm{exact}},\,\boldsymbol{e}\right)$$





- Does the FE weak form converge toward the exact solution (8)?
  - Fourth property: what is the convergence rate of the displacement (2)?
    - Starting from  $b^{d}\left(\boldsymbol{e}\right)=a\left(\boldsymbol{u}^{d,\,\mathrm{exact}},\,\boldsymbol{e}\right)$ 
      - Particularize the loading of the dual problem  $m{b}^d = m{e}$  &  $ar{m{T}} = 0$

$$b^{d}(\boldsymbol{v}_{h}) = \sum_{e} \int_{\Omega^{e}} \boldsymbol{e} \cdot \boldsymbol{v}_{h} \, dV \implies b^{d}(\boldsymbol{e}) = \|\boldsymbol{e}\|_{\mathbf{L}^{2}(B_{0h})}^{2}$$

- Developing  $~b^{d}\left(oldsymbol{e}
ight)$  , annex IV

$$\implies \|\boldsymbol{e}\|_{\mathbf{L}^{2}(B_{0h})} \leq Ch_{\max}^{k+1} \left|\boldsymbol{u}^{\mathrm{exact}}\right|_{\mathbf{H}^{k+1}(B_{h})}$$





- Does the FE weak form converge toward the exact solution (9)?
  - Fourth property: what is the convergence rate of the displacement (3)?
    - Convergence rate in the L<sup>2</sup>-norm
      - With respect to the mesh size
- $\left\|\boldsymbol{e}\right\|_{\mathbf{L}^{2}(B_{0h})} \leq Ch_{\max}^{k+1} \left\|\boldsymbol{u}^{\mathrm{exact}}\right\|_{\mathbf{H}^{k+1}(B_{h})}$
- Is equal to the polynomial order+1






# • Shape functions

- In order to define
  - The test functions  $oldsymbol{u}_h \in X_h^k$

with  $X_h^k = \left\{ \boldsymbol{u}_h \in \mathbf{H}^1\left(B_{0h}\right) : \boldsymbol{u}_h|_{\Omega_0^e} \in \mathbb{P}^k\left(\Omega_0^e\right) \ \forall \Omega_0^e \in B_{0h} \right\} \subset \mathbf{H}^1\left(B_{0h}\right)$ 

• The trial functions 
$$\delta oldsymbol{u}_h \in X^k_c$$

with 
$$X_c^k = \left\{ \delta \boldsymbol{u}_h \in X_h^k : \delta \boldsymbol{u}_h |_{\partial_D \Omega_0^e} = 0 \ \forall \Omega_0^e \in B_{0h} \right\} \subset \mathbf{H}_c^1 \left( B_{0h} \right)$$

- Polynomial shape functions  $N^a(\xi)$  are defined, with
  - *a* the node number
  - ξ the coordinates in the element basis
- On one element  $\Omega^e$

• 
$$\boldsymbol{u}_{h}^{e}\left(\boldsymbol{X}\right) = \sum_{a}^{n^{e}} N^{a}\left(\boldsymbol{\xi}\right) \boldsymbol{u}^{a}$$
 &  $\delta \boldsymbol{u}_{h}^{e}\left(\boldsymbol{X}\right) = \sum_{a}^{n^{e}} N^{a}\left(\boldsymbol{\xi}\right) \delta \boldsymbol{u}^{a}$  for  $\boldsymbol{X}$  in  $\Omega^{e}$ 

- With *n<sup>e</sup>* the number of nodes of the element
- With *u<sup>a</sup>* the nodal displacements at node *a* 
  - For adequate shape functions satisfying  $N^a\left(\boldsymbol{\xi}^b\right) = \delta_{ab}$
  - Where  $\xi^b$  are the coordinates of node b





• 1-D shape functions







- Shape functions on the mesh
  - On Body B

• 
$$\boldsymbol{u}_{h}(\boldsymbol{X}) = \sum_{a}^{n} N^{a}(\boldsymbol{\xi}) \boldsymbol{u}^{a}$$
 &  $\delta \boldsymbol{u}_{h}(\boldsymbol{X}) = \sum_{a}^{n} N^{a}(\boldsymbol{\xi}) \delta \boldsymbol{u}^{a}$  for  $\boldsymbol{X}$  in  $\boldsymbol{B}$ 

- With *n* the number of nodes of the mesh
- With *u<sup>a</sup>* the nodal displacements at node *a* 
  - For adequate shape functions satisfying  $N^{a}\left(\boldsymbol{\xi}^{b}\right) = \delta_{ab}$
  - Where  $\xi^b$  are the coordinates of node *b*
- 1-D linear example

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- Finite-element equations
  - FE formulation of the problem can be stated as finding  $u_h \in X_h^k$ such that  $a(u_h, \delta u_h) = b(\delta u_h) \quad \forall \delta u_h \in X_c^k$ , with

• 
$$\begin{cases} a\left(\boldsymbol{u}_{h},\,\boldsymbol{v}_{h}\right) = \sum_{e} \int_{\Omega^{e}} \frac{1}{2}\left(\boldsymbol{\nabla}\otimes\boldsymbol{v}_{h} + \boldsymbol{v}_{h}\otimes\boldsymbol{\nabla}\right) : \mathcal{H} : \frac{1}{2}\left(\boldsymbol{\nabla}\otimes\boldsymbol{u}_{h} + \boldsymbol{u}_{h}\otimes\boldsymbol{\nabla}\right) dV \\ b\left(\boldsymbol{v}_{h}\right) = \sum_{e} \int_{\partial_{N}\Omega^{e}} \bar{\boldsymbol{T}} \cdot \boldsymbol{v}_{h} \, dS + \sum_{e} \int_{\Omega^{e}} \boldsymbol{b} \cdot \boldsymbol{v}_{h} \, dV \\ - \text{ Using } \boldsymbol{u}_{h}\left(\boldsymbol{X}\right) = \sum_{e}^{n} N^{a}\left(\boldsymbol{\xi}\right) \boldsymbol{u}^{a} \quad \boldsymbol{\&} \quad \delta \boldsymbol{u}_{h}\left(\boldsymbol{X}\right) = \sum_{e}^{n} N^{a}\left(\boldsymbol{\xi}\right) \delta \boldsymbol{u}^{a} \quad \text{for } \boldsymbol{X} \text{ in } \boldsymbol{B} \end{cases}$$

- This is restated as finding  $u^a$  in  $\mathbb{R}^{3n}$  such that

• 
$$a\left(\boldsymbol{u}^{a},\,\delta\boldsymbol{u}^{b}\right) = b\left(\delta\boldsymbol{u}^{b}\right) \;\;\forall\delta\boldsymbol{u}^{b} \in \mathbb{R}^{3n} \;:\; \left.\delta\boldsymbol{u}^{b}\right|_{\partial_{D}B_{0h}} = 0$$

$$a\left(\boldsymbol{u}^{a},\,\delta\boldsymbol{u}^{b}\right) = \left\{\sum_{e}\int_{\Omega^{e}}\boldsymbol{\nabla}N^{b}\left(\boldsymbol{\xi}\right)\cdot\boldsymbol{\mathcal{H}}\cdot\boldsymbol{\nabla}N^{a}dV\right\}:\boldsymbol{u}^{a}\otimes\delta\boldsymbol{u}^{b}$$

$$\left(\boldsymbol{\omega}^{b}\right)=\left\{\sum_{e}\int_{\Omega^{e}}\boldsymbol{\nabla}N^{b}\left(\boldsymbol{\xi}\right)\cdot\boldsymbol{\mathcal{H}}\cdot\boldsymbol{\nabla}N^{a}dV\right\}:\boldsymbol{u}^{a}\otimes\delta\boldsymbol{u}^{b}$$

• With

$$b\left(\delta\boldsymbol{u}^{b}\right) = \left\{\sum_{e} \int_{\partial_{N}\Omega^{e}} \bar{\boldsymbol{T}}N^{b}\left(\boldsymbol{\xi}\right) \, dS + \sum_{e} \int_{\Omega^{e}} \boldsymbol{b}N^{b}\left(\boldsymbol{\xi}\right) \, dV \right\} \cdot \delta\boldsymbol{u}^{b}$$





- Finite-element equations (2)
  - FE formulation of the problem can be stated as finding  $u^a$  in  $\mathbb{R}^{3n}$  such that

$$a\left(\boldsymbol{u}^{a},\,\delta\boldsymbol{u}^{b}\right) = b\left(\delta\boldsymbol{u}^{b}\right) \quad \forall \delta\boldsymbol{u}^{b} \in \mathbb{R}^{3n} : \left. \delta\boldsymbol{u}^{b} \right|_{\partial_{D}B_{0h}} = 0$$
$$\left( a\left(\boldsymbol{u}^{a},\,\delta\boldsymbol{u}^{b}\right) = \left\{ \sum_{e} \int_{\Omega^{e}} \boldsymbol{\nabla}N^{b}\left(\boldsymbol{\xi}\right) \cdot \boldsymbol{\mathcal{H}} \cdot \boldsymbol{\nabla}N^{a} dV \right\} : \boldsymbol{u}^{a} \otimes \delta\boldsymbol{u}^{b}$$

• With

(using symmetrical properties of *H*)

$$b\left(\delta\boldsymbol{u}^{b}\right) = \left\{\sum_{e} \int_{\partial_{N}\Omega^{e}} \bar{\boldsymbol{T}}N^{b}\left(\boldsymbol{\xi}\right) \, dS + \sum_{e} \int_{\Omega^{e}} \boldsymbol{b}N^{b}\left(\boldsymbol{\xi}\right) \, dV\right\} \cdot \delta\boldsymbol{u}^{b}$$

- This can be reformulated

• Using 
$$a\left(\boldsymbol{u}^{a},\,\delta\boldsymbol{u}^{b}
ight)=\sum_{e}\mathbf{K}^{e,\,ab}:\boldsymbol{u}^{a}\otimes\delta\boldsymbol{u}^{b}$$
 &  $b\left(\delta\boldsymbol{u}^{b}
ight)=\sum_{e}\boldsymbol{f}_{\mathrm{ext}}^{e,\,b}\cdot\delta\boldsymbol{u}^{b}$ 

- As  $\delta u_b$  is arbitrary, except for the *n*' values constrained on  $\partial_D B$ , the problem is finding  $u^a$  in  $\mathbb{R}^{3n-n'}$  such that  $\sum \mathbf{K}^{e, ab} u^a = \sum f_{\text{ext}}^{e, b}$
- Remarks:
  - This corresponds to solving a system of 3n-n' equations with 3n-n' unknowns
  - -n' should be large enough so the system is not singular





- Elementary stiffness matrix
  - For one element, the second-order tensor related to nodes *a* and *b* reads

• 
$$\mathbf{K}^{e, ab} = \int_{\Omega^e} \mathbf{\nabla} N^b \left( \boldsymbol{\xi} \right) \cdot \mathcal{H} \cdot \mathbf{\nabla} N^a dV$$

- Curvilinear coordinates
  - Element and shape functions are defined in the  $\xi$ -space



• Changing frame using mapping  $oldsymbol{X} = oldsymbol{\Phi}\left(oldsymbol{\xi}
ight)$  of Jacobian determinant J

$$\left\{ \begin{aligned} \boldsymbol{\nabla} N^{a} &= \frac{\partial N^{a}\left(\boldsymbol{\xi}\right)}{\partial \boldsymbol{X}} = \frac{\partial N^{a}\left(\boldsymbol{\xi}\right)}{\partial \boldsymbol{\xi}} \cdot \frac{\partial \boldsymbol{\xi}}{\partial \boldsymbol{X}} = \boldsymbol{\nabla}_{\boldsymbol{\xi}} N^{a} \cdot \left(\boldsymbol{\nabla}_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi}\right)^{-1} \\ dV &= \left|\boldsymbol{\nabla}_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi}\right| dV^{\boldsymbol{\xi}} = JdV^{\boldsymbol{\xi}} \end{aligned} \right.$$





- Elementary stiffness matrix (2)
  - Elementary second-order tensor related to nodes *a* and *b*

• 
$$\mathbf{K}^{e,\,ab} = \int_{\Omega^e} \mathbf{\nabla} N^b \left( \boldsymbol{\xi} \right) \cdot \mathcal{H} \cdot \mathbf{\nabla} N^a dV$$

• Curvilinear coordinates  $X = \Phi\left(\xi\right)$ 

$$= \begin{cases} \boldsymbol{\nabla} N^{a} = \frac{\partial N^{a} \left(\boldsymbol{\xi}\right)}{\partial \boldsymbol{X}} = \frac{\partial N^{a} \left(\boldsymbol{\xi}\right)}{\partial \boldsymbol{\xi}} \cdot \frac{\partial \boldsymbol{\xi}}{\partial \boldsymbol{X}} = \boldsymbol{\nabla}_{\boldsymbol{\xi}} N^{a} \cdot \left(\boldsymbol{\nabla}_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi}\right)^{-1} \\ dV = |\boldsymbol{\nabla}_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi}| \, dV^{\boldsymbol{\xi}} = J dV^{\boldsymbol{\xi}} \end{cases}$$

$$= Ve^{ab} \int \left( \left(\boldsymbol{\nabla}_{\boldsymbol{\xi}} N^{b} \left(\boldsymbol{\nabla}_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi}\right)^{-1}\right) - \mathcal{U}\left(\boldsymbol{\nabla}_{\boldsymbol{\xi}} N^{a} \left(\boldsymbol{\nabla}_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi}\right)^{-1}\right) - \mathcal{U} \left(\boldsymbol{\nabla}_{\boldsymbol{\xi}} N^{a} \left(\boldsymbol{\nabla}_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi}\right)^{-1}\right) - \mathcal{U}$$

• 
$$\mathbf{K}^{e, ab} = \int_{V^{\xi}} \left( \nabla_{\boldsymbol{\xi}} N^{b} \cdot \left( \nabla_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi} \right)^{-1} \right) \cdot \mathcal{H} \cdot \left( \nabla_{\boldsymbol{\xi}} N^{a} \cdot \left( \nabla_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi} \right)^{-1} \right) J dV^{\xi}$$

- Integrating this term is not always possible in closed form
  - Complex element shapes
  - For non-linear elements, the expression is more complex
- This integration is performed considering evaluation points
  - Gauss points
  - Lobatto





• Gauss integration



• Depending on the element shape, dimension, polynomial approximation, ... there is an optimal number of Gauss points  $n_{pg}$  to capture the field

$$\int_{V^{\xi}} f dV^{\xi} \to \sum_{n=0}^{n_{pg}} f\left(\xi^n\right) w^n V^{\xi}$$

- $\xi^n$  is the location of the  $n^{\text{th}}$  Gauss point
- $w^n$  is the weight of the  $n^{\text{th}}$  Gauss point
- What is the optimal number?
  - Too many: computational cost
  - Not enough: hourglass modes





# • Gauss integration (2)

- Hourglass modes
  - Correspond to deformation modes leading to a zero-internal energy
  - 1D-example
    - Assume
      - » Linear strain approximation
      - » Antisymmetrical deformation
    - For one Gauss-point the stiffness matrix will be equal to zero
    - So two Gauss points are required
  - 2D-example
    - Assume linear square element
    - With a single Gauss-point integration
    - For some deformation modes
      - » Deformation gradient at the center is zero
      - » Zero internal energy (zero stiffness)
    - At least 4 Gauss points are required
      - » Or hourglass control









# • Gauss integration (3)

- Locking
  - Elementary stiffness matrix reads

$$\mathbf{K}^{e, ab} = \sum_{n=0}^{n_{pg}} \left( \boldsymbol{\nabla}_{\boldsymbol{\xi}} N^{b} \left( \boldsymbol{\xi}^{n} \right) \cdot \left( \boldsymbol{\nabla}_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi} \right)^{-1} \left( \boldsymbol{\xi}^{n} \right) \right) \cdot \mathcal{H} \cdot \left( \boldsymbol{\nabla}_{\boldsymbol{\xi}} N^{a} \left( \boldsymbol{\xi}^{n} \right) \cdot \left( \boldsymbol{\nabla}_{\boldsymbol{\xi}} \otimes \boldsymbol{\Phi} \right)^{-1} \left( \boldsymbol{\xi}^{n} \right) \right) J \left( \boldsymbol{\xi}^{n} \right) w^{n} V^{\boldsymbol{\xi}}$$

- 2D-example
  - Assume linear square element
  - At least 4 Gauss points are required to avoid hourglass modes
  - If a constrain is added to the system
    - Incompressibility (rubber, plasticity)
       there are more equations than unknowns and
       the solution of the system is zero deformation
- Solutions

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- Linear element with 1 Gauss point & hourglass control
- Linear element with Selected Reduced Integration
- Higher polynomial approximation
- Internal degrees of freedom (Enhanced Assumed Strain elements)





#### FE weak form for beams

- The problem is finding  $u_z \in \mathrm{H}^2([0 L])$  such that

$$a\left(\boldsymbol{u}_{z},\,\delta\boldsymbol{u}_{z}\right) = b\left(\delta\boldsymbol{u}_{z}\right) \quad \forall \delta\boldsymbol{u}_{z} \in \mathbf{H}_{c}^{2}\left(\left]0\;L\right[\right) \subset \mathbf{H}^{2}\left(\left]0\;L\right[\right)$$
• With
$$\begin{cases}
a\left(\boldsymbol{u}_{z},\,\boldsymbol{v}_{z}\right) = \int_{0}^{L} EI \frac{\partial^{2}\boldsymbol{u}_{z}}{\partial x^{2}} \frac{\partial^{2}\boldsymbol{v}_{z}}{\partial x^{2}} dx \\
b\left(\boldsymbol{v}_{z}\right) = \int_{0}^{L} f\left(x\right)\boldsymbol{v}_{z} dx + n_{x}\bar{T}_{z}\boldsymbol{v}_{z}\big|_{\partial_{N}L} + n_{x}\bar{M}_{xx}\frac{\partial\left(-\boldsymbol{v}_{z}\right)}{\partial x}\big|_{\partial M}
\end{cases}$$

- Finite element approximation \_
  - Let us try to write a displacement

FE formulation, with

$$u_z = 0$$

$$du_z/dx = 0$$

$$U_z = 0$$

 $\partial x$ 

ant

$$u_{zh} \in U_{h}^{k} = \left\{ u_{zh} \in \mathbf{H}^{2} \left( \left] 0 \ L \right] \right\} :$$
$$u_{zh}|_{L^{e-1} L^{e}[} \in \mathbb{P}^{k} \left( \left] L^{e-1} \ L^{e}[ \right) \ \forall \left] L^{e-1} \ L^{e}[ \right\} \subset \mathbf{H}^{2} \left( \left] 0 \ L[ \right) \right\}$$

Is it possible? 





## Finite element discretization of the weak form for beams

- FE weak form for beams (2)
  - Finite element approximation (2)
    - Let us try to write a displacement

FE formulation, with



$$\boldsymbol{u}_{zh} \in U_h^k = \left\{ \boldsymbol{u}_{zh} \in \mathbf{H}^2 \left( \left] 0 \ L \right[ \right) : \\ \boldsymbol{u}_{zh} \right|_{]L^{e-1} L^e[} \in \mathbb{P}^k \left( \left] L^{e-1} \ L^e[ \right) \ \forall \left] L^{e-1} \ L^e[ \right] \subset \mathbf{H}^2 \left( \left] 0 \ L[ \right) \right] \right\}$$

 The problem is that, even for quadratic shape functions in each element, the H<sup>2</sup> condition is not ensured at inter-element boundaries







## Finite element discretization of the weak form for beams

- FE weak form for beams (3)
  - Solutions
    - Special shape functions C<sup>1</sup>]0 L[
      - Shell in 3D?
    - Considering formulation with displacement & rotation degrees of freedom
      - Requires shearing
      - More degrees of freedom







- High order equations
  - C<sup>1</sup> is difficult to enforce strongly
  - Solutions
    - Discontinuous Galerkin methods
    - Meshless methods
- Mesh compatibility
  - Problem of mesh definition: crack propagation, at material boundaries
  - Solutions
    - XFEM
    - Smooth Particles Hydrodynamics
- Mesh deformation
  - For large deformations
  - Solution
    - Smooth Particles Hydrodynamics





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- Two-field functional for beams
  - Extremum with respect to  $M_{xx}$

• 
$$I'(\boldsymbol{u}_z, M_{xx}; \delta M_{xx}) = \int_0^L \delta M_{xx} \left[ \frac{\partial^2 (-\boldsymbol{u}_z)}{\partial x^2} - \partial_{M_{xx}} U(M_{xx}) \right] dx - n_x \delta M_{xx} \left[ \frac{\partial (-\boldsymbol{u}_z)}{\partial x} - \bar{\theta}_y \right] \Big|_{\partial_T L} + n_x \partial_x \delta M_{xx} \left( \boldsymbol{u}_z - \bar{\boldsymbol{u}}_z \right) \Big|_{\partial_U L}$$

- $\forall \delta M_{xx} \in \mathrm{H}_{M}^{0}(]0 L[) = \{ \delta M_{xx} \in \mathrm{H}^{0}(]0 L[) : \delta M_{xx}|_{\partial_{M}L} = 0 \}$
- Due to the arbitrary nature of  $\delta M_{xx}$ 
  - $-\frac{\partial^2 (-\boldsymbol{u}_z)}{\partial x^2} = \partial_{M_{xx}} U(M_{xx}, T_z) = \frac{M_{xx}}{EI} \quad \text{on ]0 } L\text{[, satisfying bending law} \\ -\frac{\partial (-\boldsymbol{u}_z)}{\partial x} = \bar{\theta}_y \quad \text{on } \partial_T L\text{, satisfying constrained rotations}$
  - $oldsymbol{u}_z = oldsymbol{ar{u}}_z$  on  $\partial_{_U} L$ , satisfying constrained displacements





- Two-field functional for beams (2)
  - Extremum with respect to  $u_z$

• 
$$I'(\boldsymbol{u}_{z}, M_{xx}; \delta \boldsymbol{u}_{z}) = \int_{0}^{L} M_{xx} \frac{\partial^{2}(-\delta \boldsymbol{u}_{z})}{\partial x^{2}} dx - \int_{0}^{L} f(x) \delta \boldsymbol{u}_{z} dx -$$
  
 $n_{x} \bar{T}_{z} \delta \boldsymbol{u}_{z}|_{\partial_{N}L} - n_{x} \bar{M}_{xx} \frac{\partial (-\delta \boldsymbol{u}_{z})}{\partial x}\Big|_{\partial_{M}L} - n_{x} M_{xx} \frac{\partial (-\delta \boldsymbol{u}_{z})}{\partial x}\Big|_{\partial_{T}L} +$   
 $n_{x} \partial_{x} M_{xx} \delta \boldsymbol{u}_{z}|_{\partial_{U}L}$ 

• 
$$\delta \boldsymbol{u}_{z} \in \mathrm{H}_{c}^{2}\left(\left]0 \ L\right[\right) = \left\{\delta \boldsymbol{u}_{z} \in \mathrm{H}^{2}\left(\left]0 \ L\right[\right) : \left.\delta \boldsymbol{u}_{z}\right|_{\partial_{U}L} = \left.\partial_{x}\delta \boldsymbol{u}_{z}\right|_{\partial_{T}L} = 0\right\}$$

• Integration by parts

$$I'(\boldsymbol{u}_{z}, M_{xx}; \,\delta\boldsymbol{u}_{z}) = \int_{0}^{L} -\frac{\partial^{2}M_{xx}}{\partial x^{2}}\delta\boldsymbol{u}_{z}\,dx - \int_{0}^{L}f(x)\,\delta\boldsymbol{u}_{z}\,dx - n_{x}\left[\bar{T}_{z} - \frac{\partial M_{xx}}{\partial x}\right]\delta\boldsymbol{u}_{z}\Big|_{\partial_{N}L} - n_{x}\left[\bar{M}_{xx} - M_{xx}\right]\frac{\partial\left(-\delta\boldsymbol{u}_{z}\right)}{\partial x}\Big|_{\partial_{M}L} = 0$$





- Two-field functional for beams (3)
  - Extremum with respect to  $\boldsymbol{u}_{z}$  (2) •  $I'(\boldsymbol{u}_{z}, M_{xx}; \delta \boldsymbol{u}_{z}) = \int_{0}^{L} -\frac{\partial^{2} M_{xx}}{\partial x^{2}} \delta \boldsymbol{u}_{z} \, dx - \int_{0}^{L} f(x) \, \delta \boldsymbol{u}_{z} \, dx - n_{x} \left[ \bar{T}_{z} - \frac{\partial M_{xx}}{\partial x} \right] \delta \boldsymbol{u}_{z} \Big|_{\partial_{NL}} - n_{x} \left[ \bar{M}_{xx} - M_{xx} \right] \frac{\partial (-\delta \boldsymbol{u}_{z})}{\partial x} \Big|_{\partial_{ML}} = 0$

• With 
$$\frac{\partial^2 \left(-\boldsymbol{u}_z\right)}{\partial x^2} = \partial_{M_{xx}} U\left(M_{xx}, T_z\right) = \frac{M_{xx}}{EI}$$

• Due to the arbitrary nature of  $\delta u_z$ 

$$- \frac{\partial^2}{\partial x^2} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) = f(x) \text{ on } ]0 L[\text{, satisfying linear momentum} \\ - \frac{\partial}{\partial x} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) = \bar{T}_z \text{ on } \partial_N L, \text{ satisfying shear loading BC} \\ - EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} = \bar{M}_{xx} \text{ on } \partial_M L, \text{ satisfying momentum loading BC}$$



\_



- Three-field functional for beams
  - Extremum with respect to  $M_{xx}$

• 
$$I'(\boldsymbol{u}_z, M_{xx}, \kappa; \delta M_{xx}) = \int_0^L -\delta M_{xx} \left(\kappa + \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2}\right) dx - n_x \delta M_{xx} \left[\frac{\partial (-\boldsymbol{u}_z)}{\partial x} - \bar{\theta}_y\right]\Big|_{\partial_T L} + n_x \partial_x \delta M_{xx} \left(\boldsymbol{u}_z - \bar{\boldsymbol{u}}_z\right)\Big|_{\partial_U L} = 0$$

• 
$$\forall \delta M_{xx} \in \mathcal{H}^0_M(]0 L[) = \{\delta M_{xx} \in \mathcal{H}^0(]0 L[) : \delta M_{xx}|_{\partial_M L} = 0\}$$

• Due to the arbitrary nature of  $\delta M_{xx}$ 

$$- \kappa = -\frac{\partial^2 u_z}{\partial x^2}$$
 on ]0 *L*[, satisfying bending compatibility  
 
$$- \bar{\theta}_y = \frac{\partial (-u_z)}{\partial x}$$
 on  $\partial_T L$ , satisfying constrained rotations  
 
$$- u_z = \bar{u}_z$$
 on  $\partial_N L$ , satisfying constrained displacements





- Three-field functional for beams (2)
  - Extremum with respect to  $\kappa$

• 
$$I'(\boldsymbol{u}_z, M_{xx}, \kappa; \delta\kappa) = \int_0^L \left[\partial_{\kappa} U(\kappa) - M_{xx}\right] \delta\kappa \, dx = 0$$

- $\forall \delta \kappa \in \mathcal{H}_{M}^{0}(]0 L[) = \{\delta \kappa \in \mathcal{H}^{0}(]0 L[) : \delta \kappa|_{\partial_{M}L} = 0\}$
- Due to the arbitrary nature of  $\delta \kappa$ 
  - $\quad M_{xx} = \partial_{\kappa} U\left(\kappa\right) = EI\kappa \quad \text{on ]0 $L$[, satisfying bending law}$





- Three-field functional for beams (3)
  - Extremum with respect to  $u_z$

• 
$$I'(\boldsymbol{u}_{z}, M_{xx}, \kappa; \delta \boldsymbol{u}_{z}) = \int_{0}^{L} \left[ -M_{xx} \frac{\partial^{2} \delta \boldsymbol{u}_{z}}{\partial x^{2}} \right] dx - \int_{0}^{L} f(x) \, \delta \boldsymbol{u}_{z} \, dx - n_{x} \bar{T}_{z} \delta \boldsymbol{u}_{z} \Big|_{\partial_{NL}} - n_{x} \bar{M}_{xx} \frac{\partial \left( -\delta \boldsymbol{u}_{z} \right)}{\partial x} \Big|_{\partial_{ML}} + n_{x} \partial_{x} M_{xx} \delta \boldsymbol{u}_{z} \Big|_{\partial_{UL}} - n_{x} M_{xx} \frac{\partial \left( -\delta \boldsymbol{u}_{z} \right)}{\partial x} \Big|_{\partial_{TL}}$$

- $\delta \boldsymbol{u}_{z} \in \mathrm{H}^{2}_{c}\left(\left[0 \ L\right]\right) = \left\{\delta \boldsymbol{u}_{z} \in \mathrm{H}^{2}\left(\left[0 \ L\right]\right) : \left.\delta \boldsymbol{u}_{z}\right|_{\partial_{U}L} = \left.\partial_{x}\delta \boldsymbol{u}_{z}\right|_{\partial_{T}L} = 0\right\}$
- Integration by parts

$$I'(\boldsymbol{u}_{z}, M_{xx}, \kappa; \delta \boldsymbol{u}_{z}) = \int_{0}^{L} \left[ -\frac{\partial^{2} M_{xx}}{\partial x^{2}} \right] \delta \boldsymbol{u}_{z} \, dx - \int_{0}^{L} f(x) \, \delta \boldsymbol{u}_{z} \, dx - n_{x} \left( \bar{T}_{z} + \frac{\partial M_{xx}}{\partial x} \right) \delta \boldsymbol{u}_{z} \Big|_{\partial_{N}L} - n_{x} \left( \bar{M}_{xx} - M_{xx} \right) \frac{\partial \left( -\delta \boldsymbol{u}_{z} \right)}{\partial x} \Big|_{\partial_{M}L} = 0$$





- Three-field functional for beams (4)
  - Extremum with respect to  $u_z$  (2)

• 
$$I'(\boldsymbol{u}_z, M_{xx}, \kappa; \delta \boldsymbol{u}_z) = \int_0^L \left[ -\frac{\partial^2 M_{xx}}{\partial x^2} \right] \delta \boldsymbol{u}_z \, dx - \int_0^L f(x) \, \delta \boldsymbol{u}_z \, dx - n_x \left( \bar{T}_z + \frac{\partial M_{xx}}{\partial x} \right) \delta \boldsymbol{u}_z \Big|_{\partial_N L} - n_x \left( \bar{M}_{xx} - M_{xx} \right) \frac{\partial \left( -\delta \boldsymbol{u}_z \right)}{\partial x} \Big|_{\partial_M L} = 0$$

• With

- 
$$M_{xx} = \partial_{\kappa} U(\kappa) = EI\kappa$$
  
-  $\kappa = -\frac{\partial^2 u_z}{\partial x^2}$ 

• Due to the arbitrary nature of  $\delta u_z$ 

$$- \frac{\partial^2}{\partial x^2} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) = f(x)$$
$$- \frac{\partial}{\partial x} \left( EI \frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} \right) = \bar{T}_z$$

 $- -EI\frac{\partial^2 \boldsymbol{u}_z}{\partial x^2} = \bar{M}_{xx}$ 

on ]0 L[, satisfying linear momentum

on  $\partial_N L$ , satisfying shear loading BC

on  $\partial_M L$ , satisfying momentum loading BC





Annex III: Finite element discretization of the weak form

- Convergence rate in the energy norm
  - Starting from  $\||\boldsymbol{e}^k|\|^2 = a\left(\boldsymbol{u}_h \boldsymbol{u}^k, \, \boldsymbol{u}_h \boldsymbol{u}^k\right)$ 
    - Using linearity of *a*

$$\implies \left\| \left| \boldsymbol{e}^{k} \right| \right\|^{2} = a \left( \boldsymbol{u}_{h} - \boldsymbol{u}^{\text{exact}}, \, \boldsymbol{u}_{h} - \boldsymbol{u}^{k} \right) + a \left( \boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k}, \, \boldsymbol{u}_{h} - \boldsymbol{u}^{k} \right)$$

• Using orthogonality relation (  $a\left(oldsymbol{u}_h-oldsymbol{u}_{ ext{exact}}^k,oldsymbol{v}_h
ight)=0~~oralloldsymbol{u}_h,~oldsymbol{v}_h\in X_c^k$  )

$$\implies \left\| \left| \boldsymbol{e}^{k} \right| \right\|^{2} = a \left( \boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k}, \, \boldsymbol{u}_{h} - \boldsymbol{u}^{k} \right)$$

• Using upper bound (  $|a\left(oldsymbol{u},oldsymbol{v}
ight)|\leq \||oldsymbol{u}|\|\,\||oldsymbol{v}|\|$  )

$$\Longrightarrow \left\| \left| \boldsymbol{e}^{k} \right| \right\|^{2} \leq \left\| \left| \boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k} \right| \right\| \left\| \left| \boldsymbol{u}_{h} - \boldsymbol{u}^{k} \right| \right\| = \left\| \left| \boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k} \right| \right\| \left\| \left| \boldsymbol{e}^{k} \right| \right\|$$
$$\Longrightarrow \left\| \left| \boldsymbol{e}^{k} \right| \right\|^{2} \leq \left\| \left| \boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k} \right| \right\|^{2} = \sum_{e} \left\| \sqrt{\mathcal{H}} : \boldsymbol{\nabla} \otimes \left( \boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k} \right) \right\|_{\mathbf{L}^{2}(\Omega^{e})}^{2}$$
$$\Longrightarrow \left\| \left| \boldsymbol{e}^{k} \right| \right\|^{2} \leq \left| \mathcal{H} \right| \sum_{e} \left\| \boldsymbol{\nabla} \otimes \left( \boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k} \right) \right\|_{\mathbf{L}^{2}(\Omega^{e})}^{2}$$





Annex III: Finite element discretization of the weak form

- Convergence rate in the energy norm (2)
  - $\hspace{0.1 cm} \hspace{0.1 cm} \hspace{0.1 cm} \hspace{0.1 cm} \hspace{0.1 cm} \hspace{0.1 cm} \hspace{0.1 cm} {\rm tring from } \left\| \left| \boldsymbol{e}^k \right| \right\|^2 \leq \left| \mathcal{H} \right| \sum \left\| \boldsymbol{\nabla} \otimes \left( \boldsymbol{u}^{\rm exact} \boldsymbol{u}^k \right) \right\|_{{\rm \mathbf{L}}^2(\Omega^e)}^2$ 
    - Using Sobolov norm definition (  $\|f\|_{W^{m, p}([a, b])} = \sum_{k=0}^{m} \left\|f^{(k)}\right\|_{L^{p}([a, b])}$  )

$$\Longrightarrow \left\| \left| \boldsymbol{e}^k \right| \right\|^2 \leq \left| \mathcal{H} \right| \sum_e \left\| \boldsymbol{u}^{ ext{exact}} - \boldsymbol{u}^k \right\|_{\mathbf{H}^1(\Omega^e)}^2$$

• As  $\boldsymbol{u}^{k} \in \mathbb{P}^{k}\left(\Omega_{0}^{e}\right)$ , assuming  $\boldsymbol{u}^{\mathrm{exact}} \in \mathbf{H}^{k+1}\left(\Omega_{0}^{e}\right)$  using the interpolation

theory 
$$\left(\left\|\boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k}\right\|_{\mathbf{H}^{q}\left(\Omega_{0}^{e}\right)} \leq Ch_{e}^{k+1-q} \left|\boldsymbol{u}^{\text{exact}}\right|_{\mathbf{H}^{k+1}\left(\Omega_{0}^{e}\right)}\right)$$
, with  $q = 1$   
 $\implies \left\|\left|\boldsymbol{e}^{k}\right|\right\|^{2} \leq C \sum_{e} h_{e}^{2k} \left|\boldsymbol{u}^{\text{exact}}\right|_{\mathbf{H}^{k+1}\left(\Omega^{e}\right)}^{2}$   
 $\implies \left\|\left|\boldsymbol{e}^{k}\right|\right\| \leq Ch_{\max}^{k} \left|\boldsymbol{u}^{\text{exact}}\right|_{\mathbf{H}^{k+1}\left(B_{h}\right)}^{2}$ 

- Using similar argumentation for  $\boldsymbol{e} = \boldsymbol{u}_h \boldsymbol{u}^{\text{exact}} \in \mathbf{H}_c^2(B_0)$  $\implies \||\boldsymbol{e}|\| \leq Ch_{\max}^k |\boldsymbol{u}^{\text{exact}}|_{\mathbf{H}^{k+1}(B_h)}$
- As  $|\boldsymbol{u}^{\text{exact}} \boldsymbol{u}^{k}| \leq |\boldsymbol{e}| + |\boldsymbol{e}^{k}|$ , using the 2 error estimates  $\implies |||\boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k}||| \leq Ch_{\max}^{k} |\boldsymbol{u}^{\text{exact}}|_{\mathbf{H}^{k+1}(B_{h})}$





Annex IV: Finite element discretization of the weak form

- Convergence rate in the L<sup>2</sup>-norm
  - Starting from  $b^{d}(\boldsymbol{e}) = a\left(\boldsymbol{u}^{d,\,\mathrm{exact}},\,\boldsymbol{e}\right) = a\left(\boldsymbol{u}^{d,\,\mathrm{exact}} \boldsymbol{u}^{d,\,k},\,\boldsymbol{e}\right) + a\left(\boldsymbol{u}^{d,\,k},\,\boldsymbol{e}\right)$ 
    - As *a* is symmetrical

$$\implies b^{d}(\boldsymbol{e}) = a\left(\boldsymbol{u}^{d,\,\mathrm{exact}} - \boldsymbol{u}^{d,\,k},\,\boldsymbol{e}\right) + a\left(\boldsymbol{u}_{h} - \boldsymbol{u}^{\mathrm{exact}},\,\boldsymbol{u}^{d,\,k}\right)$$

• Using orthogonality relation (
$$a(\boldsymbol{u}_h - \boldsymbol{u}^{\text{exact}}, \boldsymbol{v}_h) = 0 \quad \forall \boldsymbol{u}_h, \ \boldsymbol{v}_h \in X_c^k$$
)  
 $\implies b^d(\boldsymbol{e}) = a(\boldsymbol{u}^{d, \text{exact}} - \boldsymbol{u}^{d, k}, \ \boldsymbol{e}) = a(\boldsymbol{u}^{d, \text{exact}} - \boldsymbol{u}^{d, k}, \ \boldsymbol{u}_h - \boldsymbol{u}^{\text{exact}})$   
 $\implies b^d(\boldsymbol{e}) = a(\boldsymbol{u}^{d, \text{exact}} - \boldsymbol{u}^{d, k}, \ \boldsymbol{u}_h - \boldsymbol{u}^k) + a(\boldsymbol{u}^{d, \text{exact}} - \boldsymbol{u}^{d, k}, \ \boldsymbol{u}^k - \boldsymbol{u}^{\text{exact}})$ 

• Let us particularize the loading of the dual problem  $\, m b^d = m e \,$  & ar T = 0

$$b^{d}\left(\boldsymbol{v}_{h}\right) = \sum_{e} \int_{\Omega^{e}} \boldsymbol{e} \cdot \boldsymbol{v}_{h} \, dV \implies b^{d}\left(\boldsymbol{e}\right) = \left\|\boldsymbol{e}\right\|_{\mathbf{L}^{2}\left(B_{0h}\right)}^{2}$$

 $\implies \|\boldsymbol{e}\|_{\mathbf{L}^{2}(B_{0h})}^{2} = a\left(\boldsymbol{u}^{d, \operatorname{exact}} - \boldsymbol{u}^{d, k}, \, \boldsymbol{u}_{h} - \boldsymbol{u}^{k}\right) + a\left(\boldsymbol{u}^{d, \operatorname{exact}} - \boldsymbol{u}^{d, k}, \, \boldsymbol{u}^{k} - \boldsymbol{u}^{\operatorname{exact}}\right)$ 

• Using upper bound (  $|a\left(oldsymbol{u},\,oldsymbol{v}
ight)|\leq \||oldsymbol{u}|\|\,\||oldsymbol{v}|\|$  )

$$\implies \|\boldsymbol{e}\|_{\mathbf{L}^{2}(B_{0h})}^{2} \leq \left\| \left| \boldsymbol{u}^{d, \operatorname{exact}} - \boldsymbol{u}^{d, k} \right| \right\| \left\| \left| \boldsymbol{e}^{k} \right| \right\| + \left\| \left| \boldsymbol{u}^{d, \operatorname{exact}} - \boldsymbol{u}^{d, k} \right| \right\| \left\| \left| \left| \boldsymbol{u}^{k} - \boldsymbol{u}^{\operatorname{exact}} \right| \right\|$$





Annex IV: Finite element discretization of the weak form

- Convergence rate in the L<sup>2</sup>-norm (2)
  - Starting from  $\|\boldsymbol{e}\|_{\mathbf{L}^{2}(B_{0h})}^{2} \leq \||\boldsymbol{u}^{d, \operatorname{exact}} \boldsymbol{u}^{d, k}|\| [\||\boldsymbol{e}^{k}|\| + \||\boldsymbol{u}^{k} \boldsymbol{u}^{\operatorname{exact}}|\|]$ 
    - Assuming the problem is elliptic with

$$-\mathbf{A}\cdot \boldsymbol{u} = \boldsymbol{b} \text{ in } B_0$$

with  $\mathbf{A}$  :  $C^{\infty}(B_0) \to \mathbf{H}^{p-2m}(B_0)$  the elliptic operator

- » *m*=1 in elasticity
- » *m*=2 for beams

$$- \partial^i \boldsymbol{u} = 0 \text{ on } \partial B_0 \quad \forall \ 0 \le i \le m-1$$

– If the exact solution  $\,oldsymbol{u}\in\mathbf{H}^{2m}\left(B_{0}
ight)\,$  , then\*

$$\|\boldsymbol{u}\|_{\mathbf{H}^p} \le C^p \|\mathbf{A} \cdot \boldsymbol{u}\|_{\mathbf{H}^{p-2m}(B_0)} \quad \forall \ p \ge 2m$$

• Using *m*=1, *p*=2, as  $b^d = e$  this theorem applied to the dual problem leads to

$$\begin{aligned} \left\| \boldsymbol{u}^{d, \operatorname{exact}} \right\|_{\mathbf{H}^{2}(B_{0h})} &\leq C \left\| \boldsymbol{b}^{d} \right\|_{\mathbf{H}^{0}(B_{0h})} = C \left\| \boldsymbol{e} \right\|_{\mathbf{L}^{2}(B_{0h})} \end{aligned}$$

$$\bullet \quad \operatorname{Using} \left\| \left| \boldsymbol{u}^{\operatorname{exact}} - \boldsymbol{u}^{k} \right| \right\| &\leq C h_{\max}^{k} \left\| \boldsymbol{u}^{\operatorname{exact}} \right\|_{\mathbf{H}^{k+1}(B_{h})} \text{ for the dual problem} \end{aligned}$$

$$\Longrightarrow \left\| \left| \boldsymbol{u}^{d, \operatorname{exact}} - \boldsymbol{u}^{d, k} \right| \right\| &\leq C' h_{\max} \left\| \boldsymbol{u}^{d, \operatorname{exact}} \right\|_{\mathbf{H}^{2}(B_{0h})} \leq C h_{\max} \left\| \boldsymbol{e} \right\|_{\mathbf{L}^{2}(B_{h0})} \end{aligned}$$

\*J. Lions, E. Magenes, Problèmes aux limites non homogènes, Dunod, Paris, France, 1968.



Annex IV: Finite element discretization of the weak form

- Convergence rate in the L<sup>2</sup>-norm (3)
  - Starting from  $\|\boldsymbol{e}\|_{\mathbf{L}^{2}(B_{0h})}^{2} \leq \||\boldsymbol{u}^{d, \operatorname{exact}} \boldsymbol{u}^{d, k}|\| [\||\boldsymbol{e}^{k}|\| + \||\boldsymbol{u}^{k} \boldsymbol{u}^{\operatorname{exact}}|\|]$

• As 
$$\| \| \boldsymbol{u}^{d, \operatorname{exact}} - \boldsymbol{u}^{d, k} \| \| \leq C' h_{\max} \| \boldsymbol{u}^{d, \operatorname{exact}} \|_{\mathbf{H}^{2}(B_{0h})} \leq C h_{\max} \| \boldsymbol{e} \|_{\mathbf{L}^{2}(B_{h0})}$$

$$\implies \|\boldsymbol{e}\|_{\mathbf{L}^{2}(B_{0h})} \leq Ch_{\max}\left[\left\|\left|\boldsymbol{e}^{k}\right|\right\| + \left\|\left|\boldsymbol{u}^{k} - \boldsymbol{u}^{\mathrm{exact}}\right|\right\|\right]$$

• Using 
$$\begin{cases} \left\| \left\| \boldsymbol{e}^{k} \right\| \right\| \leq Ch_{\max}^{k} \left\| \boldsymbol{u}^{\text{exact}} \right\|_{\mathbf{H}^{k+1}(B_{h})} \\ \left\| \left\| \boldsymbol{u}^{\text{exact}} - \boldsymbol{u}^{k} \right\| \right\| \leq Ch_{\max}^{k} \left\| \boldsymbol{u}^{\text{exact}} \right\|_{\mathbf{H}^{k+1}(B_{h})} \\ \implies \left\| \boldsymbol{e} \right\|_{\mathbf{L}^{2}(B_{0h})} \leq Ch_{\max}^{k+1} \left\| \boldsymbol{u}^{\text{exact}} \right\|_{\mathbf{H}^{k+1}(B_{h})} \end{cases}$$

\*J. Lions, E. Magenes, Problèmes aux limites non homogènes, Dunod, Paris, France, 1968.



